

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, November 17, 2014 10:30 a.m.

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from the October 21 meeting. (pgs.1-5)
2. Presentation of resolutions for retiring staff members;
 - 1) Carole Skaggs;
 - 2) Jane Gasperin;
3. Presentation of staff service awards;
 - 1) Tom Newman – 15 year award;
 - 2) Jane Gasperin – 25 year award;
 - 3) Becky Glazier – 30 year award;
 - 4) Kay Walker – 40 year award;
4. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Citizens for Cynthia Santos*, 12438, 14AM022; (pgs.6-8)
 - 2) *SBE v. Friends of Paul Rosenfield*, 23820, 14MQ107 & 13MA092; (pgs.9-15)Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 3) *SBE v. St. Charles Education Association – IPACE*, 17812, 14MQ060; (pgs.16-24)
 - 4) *SBE v. Country Oaks Committee to Elect Robert G. Abboud*, 19066, 14MQ065; (pgs.25-29)
 - 5) *SBE v. Friends of Blake Sercye*, 25464, 14AM052; (pgs.30-36)
 - 6) *SBE v. Dillard for Governor 2014*, 25474, 14AM053; (pgs.37-42)
 - 7) *SBE v. Citizens for Tim Bradford*, 25581, 14MQ162; (pgs.43-45)Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 9) *SBE v. Citizens for Linda S. Frank*, 4156, 14DQ014; (pgs.46-49)
 - 10) *SBE v. Friends of Linda Fulgenzi*, 21027, 14MQ079; (pgs.50-52)
 - 11) *SBE v. Cushing for Judge*, 25564, 14MA018; (pgs.53-58)
 - 12) *SBE v. Committee to Elect Molt for Judge*, 25872, 14MA023; (pgs.59-63)

Appeals of contribution limit fines – hearing officer recommendation appeals be granted

13) *SBE v. Friends of George A. Cardenas*, 17290, 14MACL001; (pgs.64-88)

Failure to comply with a board order

- 14) *SBE v. Jackson for Committeeman Ind. Political Org.*, 22751, 13CD178; (pg.89)
- 15) *SBE v. Citizens for Leys*, 15682, 14CD022; (pg.90)
- 16) *SBE v. Citizens for Colvin*, 16242, 14CD024; (pg.91)
- 17) *SBE v. votedebby.com*, 19006, 14CD032; (pg.92)
- 18) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 19743, 14CD033; (pg.93)
- 19) *SBE v. Re-Elect Eric Leys*, 22022, 14CD042; (pg.94)
- 20) *SBE v. Jackson for Committeeman Ind. Democratic Political Org.*, 22751, 14CD046; (pg.95)
- 21) *SBE v. Illinois Black Business PAC*, 22756, 14CD047; (pg.96)
- 22) *SBE v. Friends for Jeremy J. Ly*, 23466, 14CD051; (pg.97)
- 23) *SBE v. Tammy Baer for Douglas County State's Attorney*, 24201, 14CD059; (pg.98)
- 24) *SBE v. Citizens for Fairness – Jeff Davis*, 24378, 14CD064; (pg.99)
- 25) *SBE v. Citizens for Rudd*, 24465, 14CD068; (pg.100)
- 26) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 24540, 14CD072; (pg.101)
- 27) *SBE v. Friends for Mary C. Childers*, 25317, 14CD095; (pg.102)
- 28) *SBE v. Citizens for a Better Fox Valley*, 25329, 14CD099; (pg.103)
- 29) *SBE v. Will Kyles Committee*, 25362, 14CD100; (pg.104)
- 30) *SBE v. Fans of Harness Racing*, 25588, 14CD105; (pg.105)
- 31) *SBE v. Braiman for Judge*, 24684, 14CD075; (pg.106)

Other campaign disclosure items

- 32) Procedures for accepting settlement offers; (pg.107)
- 33) Payment of civil penalties – informational; (pg.108)

Complaints following closed preliminary hearing (separate packet)

- 34) *Smiddy v. Wozniak for Illinois*, 14CD194; (pgs.1-7)
- 35) *Illinois for Karmeier v. Campaign for 2016, Franklin County Democratic Organization & Williamson County Democratic Central Committee*, 14CD195; (pgs.8-18)

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld and proceed to a public hearing

- 36) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 14CD137; (pgs.19-21)
- 37) *SBE v. Tammy Baer for Douglas County State's Attorney*, 14CD155; (pgs.22-24)
- 38) *SBE v. Citizens for Rudd*, 14CD161; (pgs.25-27)
- 39) *SBE v. Friends of David Moore*, 14CD162; (pgs.28-30)
- 40) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 14CD163; (pgs.31-33)
- 41) *SBE v. Braiman for Judge*, 14CD165; (pgs.34-36)
- 42) *SBE v. Friends of Christina Campos*, 14CD170; (pgs.37-39)
- 43) *SBE v. Citizens to Elect 169 Write-Ins*, 14CD173; (pgs.40-42)
- 44) *SBE v. Hispanic American Community Leadership Network*, 14CD175; (pgs.43-45)
- 45) *SBE v. Friends for Mary C. Childers*, 14CD176; (pgs.46-48)
- 46) *SBE v. Will Kyles Committee*, 14CD178; (pgs.49-51)
- 47) *SBE v. Supporters of Joe Cook*, 14CD179; (pgs.52-54)
- 48) *SBE v. Citizens to Elect Frazier Garner*, 14CD183; (pgs.55-57)
- 49) *SBE v. Fans of Harness Racing*, 14CD185; (pgs.58-60)
- 50) *SBE v. The Committee to Elect Robert R. McKay*, 14CD189; (pgs.61-63)

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld but no further action beyond the imposition of a civil penalty

- 51) *SBE v. ICA PAC*, 14CD121; (pgs.64-66)
- 52) *SBE v. Cumberland County Democratic Central Committee*, 14CD124; (pgs.67-69)
- 53) *SBE v. Friends of Scott Saunders*, 14CD130; (pgs.70-72)
- 54) *SBE v. Committee to Elect Rex Bradfield*, 14CD138; (pgs.73-75)

- 55) *SBE v. Citizens to Elect Bridgett Provenzano*, 14CD160; (pgs.76-78)
- 56) *SBE v. Save 7 Eleven: Vote No on Local Opinion Question Ward 36 Precinct 33*, 14CD164; (pgs.79-81)
- 57) *SBE v. Marc Landers*, 14CD171; (pgs.82-84)
- 58) *SBE v. Friends of Jim Murphy for Judge*, 14CD184; (pgs.85-87)
- 59) *SBE v. Citizens for Lukowski*, 14CD187; (pgs.88-90)
- 60) *SBE v. Citizens to Elect Tonya H. Hunter*, 14CD188; (pgs.91-93)
- 61) *SBE v. "Yes" for EMS*, 14CD192. (pgs.94-96)

- 5. Report of the Executive Director
 - a. November 4, 2014 General Election update;
 - 1) Post election report; (will be sent under separate cover)
 - 2) Late precinct reporting; (will be sent under separate cover)
 - 3) Voting systems pre-testing; (pgs.109-110)
 - 4) Election judge training schools – informational; (pgs.111-114)
 - b. Legislative update; (oral report)
 - c. Proposed Amendment to Personnel Rules – Timekeeping; (will be sent under separate cover)
 - d. Paperless Online Voter Application update; (oral report)
 - e. Six month review of executive session minutes; (pg.115)
 - f. Fiscal status reports – informational;
 - 1) FY15 – month ending October 31; (pgs.116-123)
 - 2) FY15 – Help Illinois Vote Fund; (pgs.124-129)
 - g. Two year plan of staff activity for the months of November & December – informational. (pgs.130-134)
- 6. Follow up. (pg.135)
- 7. Comments from the general public. (pg.135)
- 8. Next Board Meeting scheduled for Sunday, November 30, 2014, at 5:00 p.m. in Springfield and at McCorkle Litigation Services, 200 N. LaSalle, Suite 2900 in Chicago via videoconference. (pg.135)
- 9. Executive session. (pgs.136-147)

STATE BOARD OF ELECTIONS

Regular Meeting

Tuesday, October 21, 2014

MINUTES

PRESENT:

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman (Springfield)
Harold D. Byers, Member (Springfield)
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Bryan A. Schneider, Member
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Darlene Gervase, Administrative Assistant III

The Chairman opened the meeting at 10:30 a.m. by leading everyone in the pledge of allegiance.

All members were present with Vice Chairman Scholz and Member Byers in the Springfield office via video conference. Member Watson arrived at 10:36 a.m.

Member Coffrin moved and Member Gowen seconded a motion to approve the minutes of the September 15, 2014 meeting. The motion carried by 8 voices in unison.

General Counsel Steve Sandvoss began his report with a Motion for Reconsideration in the matter of *SBE v. Citizens for Bita Buenrostro*, 22801, 14MQ093. Mr. Sandvoss recommended the Motion be denied because the certified mail was unclaimed. However, if the Board grants the Motion he recommends the matter be referred to a Hearing Officer to hear the appeal. Laura Jacksack, attorney for the Respondent, indicated that the Committee did not receive notice of the certified mail, but did receive the Board Order which arrived via regular mail on the 19th. The committee contacted her immediately and she filed the Motion for Reconsideration the same day. The Board considered the information offered by Laura Jacksack and Granted the Motion to Reconsider and refer the matter to a Hearing Officer.

Requests for Settlement Offers were presented by the General Counsel. He recommended the Board accept the offer in the matters of: 2.a.2) *SBE v. Stephenson County Republican Central Committee*, 187, 14MQ005; and deny the offer in 2.a.3) *SBE v. Citizens to Elect Norman Bolden*, 11237, 11MQ028 which was less than 50% of the original assessment. As to 2.a.2, Mr. Carl Larson appeared for the Respondent and offered \$350, which is 50% of the fine and would be paid within 30 days. Member Schneider moved to accept the offer of settlement and Member McGuffage seconded the motion. The motion passed unanimously. As to 2.a.3, Respondent Mr. Norman Bolden appeared and offered \$2,012.50 which is 50% of the penalty. Member McGuffage moved to accept the offer and Member Schneider seconded the motion which passed unanimously.

Mr. Sandvoss continued with appeals of campaign disclosure fines wherein the Hearing Officer recommended the appeal be granted and he concurred. Member Schneider moved and Member Gowen seconded the motion which passed 8-0 by roll call vote. Those cases are: *SBE v. Henderson County Democratic Central Committee*, 14MQ020; *SBE v. New 10th Ward – Richard L. Martinez, Jr.*, 14MQ119; *SBE v. Fien* *eppe Zerillo*, 14MQ126; and *SBE v. Citizens to Elect Beth Goncher*, 14MQ182.

The Board considered the appeals in the following cases where the Hearing Examiner recommended and the General Counsel concurred that they be Denied. Member Schneider moved and Member Coffrin seconded the Motion to Deny the Appeals. The motion passed 8-0 by roll call vote. Those matters are: *SBE v. Clark County Democratic Central Committee*, 99, 14MQ003; *SBE v. Rock Island County Republican Central Committee*, 349, 14MQ008; *SBE v. Washington County Republican Central Committee*, 388, 14AM007; *SBE v. Citizens for Lauren Beth Gash*, 8421, 14MQ030; *SBE v. Citizens to Elect Michael “Mike” Stuttley*, 16287, 14MQ051; *SBE v. Vernon Township Republican Central Committee*, 19724, 14MQ068; *SBE v. Friends of Cesar A. Santoy*, 21482, 14MQ083; *SBE v. Friends of Edwin Reyes*, 22303, 14AM036; *SBE v. Rice for Recorder*, 22748, 14MQ092; *SBE v. Neighbors United for Marc Kaplan*, 23188, 14MQ097; *SBE v. Gregg Moore for IL Governor*, 23277, 14MQ101; *SBE v. The Committee for Municipal Electricity Choice*, 24164, 14AM044; *SBE v. Citizens to Elect Brian VanVickle for Sheriff*, 25267, 14AD066; *SBE v. State & Local PAC of Intl Alliance of Theatrical Stage Employees*, 25445, 14AM049; *SBE v. Curtis Lane for Circuit Judge*, 25641, 14AM063; *SBE v. McLean County Sales Tax Referendum*, 25770, 14AM070; and *SBE v. Committee to Elect Molt for Judge* 14MA023 .

Mr. Ed Holmeyer, Chairman of the Respondent committee, in *SBE v. Ford County Democrats Central Committee*, 318, 14MQ007 appeared and offered a settlement of \$112.50, 50% of the fine. He added he would have the treasurer write the check immediately. Member Byers moved to deny the appeal but accept the settlement and Member McGuffage seconded the motion. The motion passed 8-0 by roll call vote.

Mr. Tim Cibulka and Don Finn appeared for the Respondent in *SBE v. Local Union 134 Intl Brotherhood of Electrical Workers PAC*, 4246, 14AM016. Mr. Cibulka indicated he replaced the former treasurer and offered \$1,062.50, which is 25% of the fine for the A-1 violation. Member McGuffage moved and Member Watson seconded the motion to deny the appeal but accept the offer with payment within 30 days.

Mr. Franklin Beach appeared in the matter of *SBE v. Friends of Frank Beach for Alderman Committee*, 5583, 14MQ025. He indicated that he didn't receive the reminder to file the board e-mails each quarter. He said the AOL system in his area was having problems and all emails were going to a SPAM or TRASH folder. He stated that he has been filing Campaign Disclosure documents with the Board for 34 years and was never late. Further, that the problems have been cured just recently. Member Schneider noted that Mr. Beach should have filed a “technical difficulty” reason for the late filing which is recognized by the Board as a defense. He moved to Grant the Appeal based on the technical difficulty defense. Member Watson seconded the motion which passed unanimously by roll call vote.

The Board called No. 23) *SBE v. Friends to Elect Andrew R. Timms*, 23693, 14DQ120 and accepted the appearance of Attorney Ron Langacker who was present for the Respondent in the Springfield office. Mr. Timms was also present. Mr. Langacker indicated that the Respondent intends to dissolve the committee. Member Schneider moved to accept the Respondent's statements to dissolve the committee, send their remaining funds to the State Board of Elections as payment towards their fine and file a final report. Member Watson seconded the motion which passed 8-0 by roll call vote.

The Board considered No. 25) *SBE v. Northbrook Caucus of 2013*, 24389, 14MQ123 and accepted the appearance of Randall Roman, Treasurer for the Respondent. Mr. Roman presented a settlement offer of \$600, which is 50% of the original assessment. Member McGuffage moved to accept the offer and Member Schneider seconded the Motion. The Motion was unanimously passed by roll call vote.

John (“Jack”) Campbell was present in Springfield for number 27) *SBE v. Friends of Campbell*, 25393, 14MA016. He stated that he intended to dissolve the campaign committee and was aware of how to dissolve his committee. Mr. Sandvoss advised Mr. Campbell that another violation occurred in the form of a delinquent September quarterly report and it will be assessed in the near future. Mr. Campbell understood and stated that he would submit the remaining funds to the State Board of Elections and finalize his committee. Member Byers moved and Vice Chairman Scholz seconded the motion to deny the appeal and accept the dissolution of the committee. The Motion passed 8-0.

Candidate Michael O’Malley offered 50% of the fine, which is \$825 which was assessed in *SBE v. Committee to Elect Michael O’Malley*, 25684, 14MQ164. Member Coffrin moved and Member Watson seconded a Motion to deny the appeal and accept the offer. The Motion passed unanimously by roll call vote.

In No. 12) *SBE v. Citizens for Linda S. Frank*, 4156, The General Counsel noted that the committee filed a final report on March 20th and has a zero balance, but had also made a settlement offer. He recommends placing this matter on a future meeting agenda so as to inquire of the respondent whether she intends to still offer a settlement, or keeping her committee dissolved for two years, after which the penalty would abate. The Board approved Mr. Sandvoss’ recommendation by 8 voices in unison.

Member Schneider moved to recess to Executive Session to discuss complaints following closed preliminary hearings, litigation and personnel matters. Member Watson seconded the motion which passed unanimously by 8 voices in unison. The Board recessed at 11:27 a.m.

The Board returned to open session at 1:37 p.m. With all members present either in Chicago or Springfield. Member Watson held Member McGuffage’s proxy.

Member Schneider summarized the complaints heard in executive session as follows.

As to Item 36), the matter was dismissed for want of prosecution. Chairman Smart moved to dismiss the complaint and Member Watson seconded the motion which passed unanimously by 8 voices in unison.

As to Item 37), Member Schneider moved to find that the complaint was filed on justifiable grounds and to proceed to public hearing concerning: the allegation of the committee failing to report contributions which is reflected by a series of negative balances; and the allegation of failing to include the solicitation of funds language on campaign material. On the grounds of failure to include the employer and occupations on the March 2014 Quarterly Report, the Hearing Officer recommends the Board finding justifiable grounds and issue an Order to Amend the March Report within 30 business days of the Final Order to include this information; and as regards the occupation/employer information for contributor David Upshaw, it is not filed on justifiable grounds. On the allegation that the Committee failed to file A-1 reports, the Hearing Officer recommends this allegation be found to not have been filed on justifiable grounds and should not proceed to public hearing. Finally, since the Board lacks jurisdiction to make findings and impose sanctions as to Section 9-25.1, the Hearing Officer recommends that the Board dismiss that portion of the Complaint that alleges a violation of Section 9-25.1. Member Gowen seconded the motion which passed unanimously by roll call vote.

As to Item 38), Mr. Schneider moved to find that the matter was filed on justifiable grounds, but no public hearing is required and refer to staff for review to determine if civil penalties should be assessed. Member Watson seconded the motion which passed unanimously by 8 voices in unison.

As to Item 39), Member Schneider moved to dismiss the case for want of prosecution or in the alternative find the complaint was not filed on justifiable grounds. Member Gowen seconded the motion which passed unanimously by 8 voices in unison.

As to Item 40), Member Schneider moved to find the complaint was filed on justifiable grounds regarding the failure to include solicitation language on certain pages of the web site failure to include the attribution of source on banners and some signs. Because the Respondent has taken corrective action this matter should not continue to public hearing. Member Schneider moved to adopt the Recommendation of the Hearing Officer and General Counsel. Member Gowen seconded the motion which passed 8-0 by roll call vote.

Member Schneider moved as to item 41) to find it was not filed on justifiable grounds. Member Gowen seconded the motion which passed 7-1 with Member Byers dissenting.

The Chairman announced that Ken Menzel was appointed to General Counsel beginning January 1, 2015 for 18 months through June 30, 2016 at \$100,000 per year and based in Chicago. Bernadette Harrington was promoted to Deputy General Counsel at \$80,000 per year and based in Chicago. A new attorney, based in Springfield at a salary of \$60,000 will be hired before the end of 2014.

The Chairman reluctantly accepted the retirement of Jane Gasperin, Director of Election Information. The Board wished her well and thanked her for 25 years of service to the Board, the Election Officials and the people of the State of Illinois.

Director Borgsmiller said that Carole, Skaggs, a 23 year employee in the IT department is retiring at the end of the year. The Board wished her well in her retirement.

Chairman Smart noted the appearance of Sharon Meroni and moved to Item 3.b. Consideration of HAVA Complaints. She requested alternative resolution for her Complaints. Mr. Sandvoss will arrange for a mediator and contact Ms. Meroni in the near future. She expressed concerns over not being provided with updated security procedures for transfer of voting equipment in the City of Chicago.

The Chairman returned to other campaign disclosure items.

At the September meeting, Member McGuffage suggested guidelines regarding when settlement offers should be considered. Guidelines created by Deputy Director Tom Newman, were prepared and presented for the Board's consideration. Discussion will continue at the next board meeting.

Sharon Steward, Director of Campaign Finance and Disclosure, asked for Assessments and Board Orders for the committees contained on page 145 of the Board's packet. Member Coffrin so moved and Member Gowen seconded the motion. The motion passed unanimously by 8 voices in unison.

Information regarding payment of civil penalties was provided for informational purposes.

Executive Director Rupert Borgsmiller began his report with preparations for the November 4th General Election. He submitted a schedule of press releases; election day staff assignments; and election judge training schools for informational purposes.

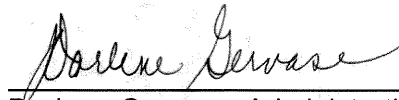
Mr. Borgsmiller asked Kyle Thomas and Kevin Turner to update the Board on the Paperless Online Voter Application (POVA). Mr. Thomas stated that the four POVA websites were automatically disabled on October 7th, the close of the regular registration period. Visitors to those websites after that date are provided information detailing Grace Period Registration. He reiterated that applications increased dramatically over the last two days of registration. Interaction with the Secretary of State's database continued through the close of registration. Mr. Turner said they intend to have the web services in production prior to reopening voter registration. The Board continues to batch process as a backup means of communication. When the web services are in place, applicants will be notified immediately as to the match results with the Secretary of State's database

The Executive Director presented fiscal status reports and the two year plan of staff activity for October and November for informational purposes.

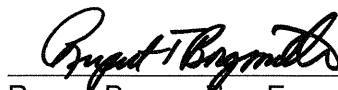
There being nothing further before the Board Member Coffrin moved to recess until the Next regular Board meeting at 10:30 a.m. on Monday, November 17, 2014, in Springfield. Member Watson seconded the motion which passed unanimously by 8 voices in unison.

The meeting adjourned at 2:30 p.m.

Respectfully submitted,



Darlene Gervase, Administrative Assistant III



Rupert Borgsmiller, Executive Director

October 17, 2014

STATE BOARD OF ELECTIONS

14 OCT 22 PM 1:22

State Board of Elections
Campaign Disclosure
2329 S. Macarthur Blvd.
Springfield, IL 62704

Attn: Laura

Please accept this letter as a request for consideration of a settlement offer of \$455.00 with respect to the Final Order in Case number 14 AM 022, Civil Penalty of \$910.00 for delinquent filing of Schedule A-1 during the first quarter of 2014 for Campaign Committee: Citizens for Cynthia Santos ID# 12438.

Your positive consideration of this request would be greatly appreciated.

Sincerely,

A handwritten signature in black ink, appearing to read 'Cynthia Santos', with a long horizontal flourish extending to the right.

Cynthia Santos
Treasurer and Candidate
Citizens for Cynthia Santos
312-833-4871

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 AM 022
)
Citizens for Cynthia Santos)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Cynthia Santos ID# 12438
 3705 N Springfield Ave
 Chicago, IL 60618-4030

This matter coming to be heard this 15th day of September, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 14 AM 022, a \$9100.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during the first quarter of 2014; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. Pursuant to section 9-10 of the Code, the assessment is reduced to \$910.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$910.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is September 17, 2014, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2014


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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100 West Randolph, Suite 14-100
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EXECUTIVE DIRECTOR
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Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

July 22, 2014
ID# 12438

Citizens for Cynthia Santos
3705 N Springfield Ave
Chicago, IL 60618-4030

7012 3460 0001 3857 9815

Dear Citizens for Cynthia Santos:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Thomas Courtney	3/7/2014	\$2200	3/12/2014	1	\$1100
Charles Schneider	3/7/2014	\$1000	3/12/2014	1	\$500
Thomas Thomas Murphy	3/7/2014	\$5000	3/12/2014	1	\$2500
Carlisle Group Action Committee	3/7/2014	\$10000	3/12/2014	1	\$5000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$9100.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$910.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more

Chairman Jesse R. Smart
State Board of Elections
P.O. Box 4187
Springfield, IL 62708

STATE BOARD OF ELECTIONS

14 OCT -9 PM 4:08

RE: Friends of Paul Rosenfeld: ID# 23820

Chairman Smart:

Please allow this letter to act as a settlement offer in the case involving the above mentioned committee.

I ended my candidacy For a Democratic Committeeman approximately 2 ½ years ago and I have no intention running for anything else. I had returned the vast majority of what I had raised but I have approximately \$12,500 remaining in the campaign fund. Friends of Paul Rosenfeld has sat completely dormant (not one contribution or expense) ever since I ended my candidacy.

The following circumstances occurred during the reporting period that I missed, which are as followed:

1) I had moved out of my office (320 W. Ohio) where all the notifications were going and was not receiving notifications; 2) My campaign treasurer who had previously handled all filings, and was the main contact for the committee, had quit her position with my company and with my campaign.

I have previously met with your staff and requested a motion to reconsider but that request, submitted on June 6th, was not submitted in a time frame for BOE to consider it. Since I began to receive the notices at my new office we have submitted every quarterly report on time. For a long period prior to this I was not aware that I needed to make quarterly reports because the committee had sat dormant.

Therefore, I am making a settlement offer of \$2,500 to clear up all past penalties against Friends of Paul Rosenfeld and to put this matter behind me.

Thank you for your consideration.

Sincerely,


Paul Rosenfeld

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 MQ 107
)
Friends of Paul Rosenfield)
 Respondent(s).)

FINAL ORDER

TO: Friends of Paul Rosenfield ID# 23820
 320 W. Ohio Street, Ste 501
 Chicago, IL 60654

This matter coming to be heard this 17th day of September, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

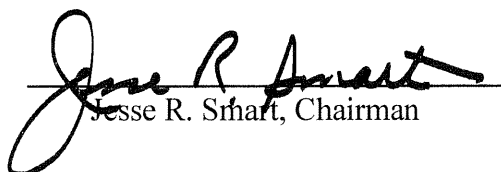
THE BOARD FINDS

1. In case number 14 MQ, a \$800.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously a penalty of \$5000.00 for the delinquent filing of the December 2012 quarterly report, a penalty of \$5000.00 for the delinquent filing of the March 2013 quarterly report, a penalty of \$5000.00 for the delinquent filing of the June 2013 quarterly report, a penalty of \$2600.00 for the delinquent filing of the September 2013 quarterly report (13 MA 092) and a penalty of \$5000.00 for the delinquent filing of the December 2013 quarterly report (14 DQ 122). These assessments were not appealed and remain unpaid.

IT IS ORDERED:

1. A civil penalty in the amount of \$800.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$22,600.00 remains unpaid and is past due; and
2. The effective date of this Order is September 17, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2014


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 23820

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Friends of Paul Rosenfield
Paul Rosenfield/Dana Popish
320 W. Ohio Street, Ste 501
Chicago, IL 60654

7012 3460 0001 3858 1153

Dear Friends of Paul Rosenfield:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/21/2014, 4 day(s) late. As such, this committee has been assessed a fine of \$800.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013	Multi Assessment	\$2600.00
2013	Multi Assessment	\$5000.00
2013	Multi Assessment	\$5000.00
2013	Multi Assessment	\$5000.00
December 2013	Quarterly	\$5000.00
TOTAL AMOUNT NOW DUE		\$23400.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

17600
500
22600
800
23400

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 13 MA 092
)
Friends of Paul Rosenfield)
 Respondent(s).)

FINAL ORDER

TO: Friends of Paul Rosenfield ID# 23820
 320 W. Ohio Street, Ste 501
 Chicago, IL 60654

This matter coming to be heard this 19th day of February, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 13 MA 092, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2012 Quarterly report; and
2. In case number 13 MA 092, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
3. In case number 13 MA 092, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2013 Quarterly report; and
4. In case number 13 MA 092, a \$2600.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2013 Quarterly report; and
5. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$17,600.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 24, 2014, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/24/2014


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

Friends of Paul Rosenfield
Paul Rosenfield/Dana Popish
320 W. Ohio Street, Ste 501
Chicago, IL 60654

ID# 23820

7012 3460 0001 3856 9410

Dear Friends of Paul Rosenfield:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 1, 2012 through January 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 11/1/2013, 200 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/1/2013, 140 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 1, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/1/2013, 77 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2013 through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/1/2013, 13 day(s) late. As such, this committee has been assessed a fine of \$2600.00.

The total for all new assessments is \$17600.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s):appealpacket

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 MQ 060

St. Charles Education assn-IPACE 17812

Respondent

REPORT OF HEARING OFFICER

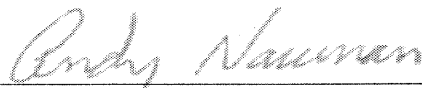
Appeal of Civil Penalty Assessment for Delinquently Filing the
March 2014 Quarterly Report

The March 2014 Quarterly Report was received by the Board on 4/16/14, 1 day late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed, abated) for delinquently filing the December 2006 Semi-Annual Report; and a \$50 civil penalty (not appealed, stayed) for delinquently filing the September 2013 Quarterly Report. The total assessment is \$150.

The Respondent was represented by attorney James P Nally. Also in attendance was the chairman, Pam Turriff, and the treasurer, Joe Blomquist.

Mr. Nally stated that the Committee had technical difficulties with the IDIS program and it caused the delinquent filing. The Respondent supplied screen shots of the SQL Server errors that they received while attempting to file the March 2014 Quarterly Report and an e-mail that was sent to the Board on the last day to file regarding the issue they were having.

It is unfortunate that the committee had a SQL Server error which prevented them from filing the report on the last day to file. Taking this into consideration and in order to be consistent with previous Board decisions when an electronic filing issue is presented, I recommend the appeal be granted. (As of 9/30/14, this Committee reported a funds available balance of \$15,034.76.)



Andy Nauman – Hearing Officer

October 14, 2014

State of Illinois)
)
County of : Kane)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 11 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
St. Charles Education Association-IPACE)
Respondent(s).)

Case No. 14MQ0160

APPEAL AFFIDAVIT

I, Joe Blomquist, the Treasurer of the
(Name) (Chairman/Treasurer)
St. Charles Education Association-IPACE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

After filing Form A-1 on 4/19/14, it came to my attention that the D-2 Form was not electronically submitted at that same time. When I tried to file the D-2 form on 4/15/14, the software program could not allow me to file because of an SQL server error. An E-mail was sent to ISBE that evening, and the electronic version was then submitted after talking with the IT department

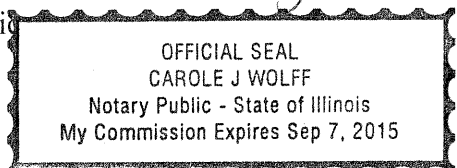
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

before me this 7 Day of August, 2014

Notary Public
(seal)



[Print](#)[Close](#)

IDIS filing technical issues

From: **Joe Blomquist** (illiniplug@hotmail.com)
Sent: Tue 4/15/14 11:17 PM
To: webmaster@elections.il.gov (webmaster@elections.il.gov)
Cc: Pam Turriff (pturriff@comcast.net)
1 attachment
SCEA D-2 2014-1.pdf (3.2 MB)

Good evening,

My name is Joe Blomquist, and I am an officer for the St Charles Education Association - IPACE. Last week (on April 9th), I filed our quarterly report and form A-1 in compliance with ISBE filing requirements. However, I was informed by a representative of our organization that the A-1 was received but not the D-2 quarterly report. I have attached a copy of this D-2 quarterly report.

This evening I tried filing this report again electronically, and the system would not allow me to do this. I plan on calling your office early Wednesday morning to rectify this issue, but I do want to make sure that we are in compliance with ISBE requirements. I can be reached at (630)670-1206.

Sincerely,
Joe Blomquist
Treasurer, St Charles Education Association



FORM

D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

- ☒ Quarterly Report:
(check one) ☒ 1st, ☐ 2nd, ☐ 3rd, ☐ 4th
- ☐ Final Report
- ☐ Amendment of the Report Indicated Above

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

St Charles Education Association - IPACE
c/o Joe Blomquist
646 Kelley Drive
North Aurora, IL 60542

POLITICAL COMMITTEE

☐ CHECK IF ADDRESS CHANGE

17812

e-mail address:

IDENTIFICATION No.

REPORTING PERIOD

1/1/2014 3/31/2014

FROM THRU

CASH AVAILABLE AT THE
BEGINNING OF THE REPORTING
PERIOD: \$11354.70

Repeat this amount in SECTION D line (A).

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62704-4503

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

COMPLETE ALL SECTIONS FOR QUARTERLY AND FINAL REPORTS.

SECTION A - RECEIPTS

1. Individual Contributions

- a. Itemized (from Schedule A): \$ (1a)
- b. Not-Itemized: \$ (1b)

2. Transfers In

- a. Itemized (from Schedule A): \$ (2a)
- b. Not-Itemized: \$ (2b)

3. Loans Received

- a. Itemized (from Schedule A): \$ (3a)
- b. Not-Itemized: \$ (3b)

4. Other Receipts

- a. Itemized (from Schedule A): \$6102 (4a)
- b. Not-Itemized: \$ (4b)

TOTAL RECEIPTS (1a thru 4b) \$ 6102

5. In-Kind Contributions

- a. Itemized (from Schedule I): \$ (5a)
- b. Not-Itemized: \$ (5b)

TOTAL IN-KIND (5a+5b) \$

Name & address of person submitting this report if other than the
committee's chairman or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out

- a. Itemized (from Schedule B): \$ (6a)
- b. Not-Itemized: \$ (6b)

7. Loans made

- a. Itemized (from Schedule B): \$ (7a)
- b. Not-Itemized: \$ (7b)

8. Expenditures

- a. Itemized (from Schedule B): \$ (8a)
- b. Not-Itemized: \$ 41.32 (8b)

9. Independent Expenditures

- a. Itemized (from Schedule B-9): \$ (9a)
- b. Not-Itemized: \$ (9b)

TOTAL EXPENDITURES (6a thru 9b) \$ 41.32

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ (10a)
- b. Not-Itemized: \$ (10b)

TOTAL DEBTS & OBLIGATIONS: \$

SECTION D - CASH BALANCE

Cash available at the beginning of the

the reporting period: \$ (A)

Total Receipts from Section A: \$ (B)

Total Cash (A) plus (B): \$ (C)

Total Expenditures from Section B: \$ (D)

Funds available at the close of the

reporting period (C) minus (D): \$ (E)

INVESTMENTS TOTAL: \$ (F)

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS
BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE
ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO
\$5000.

4/15/14

SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY

DATE

THIS FORM MAY BE REPRODUCED

PAGE 1 of 2

REVISED 1/1/11

[Print](#)[Close](#)

Screen shots- IDIS technical difficulties

From: **Joe Blomquist** (illiniflug@hotmail.com)

Sent: Tue 4/15/14 11:26 PM

To: webmaster@elections.il.gov (webmaster@elections.il.gov)

Cc: Pam Turriff (pturriff@comcast.net)

3 attachments

photo 1.JPG (3.4 MB) , photo 2.JPG (1907.0 KB) , photo 3.JPG (2.6 MB) ,

Joe Blomquist

National Board Certified Teacher

Save File

Close

Officers Previous Officers

Report Type

Reporting Period

Filed 21

A-1 (S1000 - Year Round)

Quarterly

1/1/2014 to 3/31/2014

4/9/2014 8:36:05

Filed electronically

10/1/2013 to 12/31/2013

1/10/2014 7:06:11

Filed electronically

Quarterly

7/1/2013 to 9/30/2013

10/16/2013 5:29:3

Filed electronically

Quarterly

4/1/2013 to 6/30/2013

7/15/2013 8:21:31

Filed electronically

Quarterly

1/1/2013 to 3/31/2013

4/12/2013 9:45:05

Filed electronically

X Errors



Source	Message
Microsoft SQL Server Data Provider	The search failed. A network-related or instance-specific error occurred while establishing a connection to SQL Server. The server was not found or was not accessible. Verify that the instance name is correct and that SQL Server is configured to allow remote connections. (provider: SQL Network Interfaces, error: 26 - Error Locating Server/Instance Specified)

Please explain what you were doing when the error occurred

I



State

Errors

Source	Message
IDISDBirds later	The search failed.
Met SqlClient Data Provider	A network-related or instance-specific error occurred while establishing a connection to SQL Server. The server was not found or was not accessible. Verify that the instance name is correct and that SQL Server is configured to allow remote connections. (provider: SQL Network Interfaces, error: 26 - Error Locating Server/Instance Specified)

Please explain what you were doing when the error occurred

Save File Close

Officers Previous Officers

Report Type	Reporting Period	Filed
All (\$1000+ Year Round)	1/1/2014 to 3/31/2014	4/9/2014 8:36:05 AM Filed electronically
Quarterly	10/1/2013 to 12/31/2013	1/10/2014 7:06:15 AM Filed electronically
Quarterly	7/1/2013 to 9/30/2013	10/16/2013 5:29:31 AM Filed electronically
Quarterly	4/1/2013 to 6/30/2013	7/15/2013 8:21:31 PM Filed electronically

IDISView

File Edit Actions Search Help



Receipt Detail Notes

Receipt Type

Date Amount

Received From

Description

Vendor

☐ Mass Collection ☐ Sale of Asset ☐ Investment

Amendment Due

Report Due

2	
1	
1	

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 17812

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

St Charles Education Assn-IPACE
Joe Blomquist
646 Kelley Dr
North Aurora, IL 60542

7012 3460 0001 3858 1009

Dear St Charles Education Assn-IPACE:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$50.00
TOTAL AMOUNT NOW DUE		\$150.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

14 MQ 065

Country Oaks Committee to Elect Robert G Abboud 19066

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the
March 2014 Quarterly Report

The March 2014 Quarterly Report was received by the Board on 4/29/14, 10 days late, resulting in a civil penalty assessment of \$250. Additionally, the Committee had previously been assessed a \$1,400 civil penalty (not appealed, stayed, abated) for delinquently filing the June 2005 Semi-Annual Report; a \$500 civil penalty (not appealed, paid) for delinquently filing the 2009 Consolidated Pre-Election Report; a \$100 civil penalty (not appealed, paid) for delinquently filing the June 2009 Semi-Annual Report; a \$700 civil penalty (appealed, denied, paid) for delinquently filing the December 2009 Semi-Annual Report; and two \$50 civil penalties (not appealed reduced, paid) for delinquently filing a Schedule A-1 Report during the 1st Quarter of 2013 . The total assessment is \$250.

Robert Abboud the candidate and the chairman of the Committee called in for the appeal hearing on October 3, 2014.

Mr. Abboud explained on his appeal affidavit and further over the telephone that he went through all of the steps to file the March 2014 Quarterly Report and after he completed the filing he used his internal software to affix a time stamp onto a screen shot of the screen showing that the report was successfully filed and saved the page as shown in the appeal. Therefore, once he received notice that the report was not filed he assumed the Boards software or database prevented the file from going through. He said that he contacted the Chicago office for support and was informed to file the report again however when he tried to submit the report again the program would not allow the report to be filed and he presumes it was because the report had already been filed. Therefore, he restored the program from a backup that was made prior to the filing and he filed the report.

The hearing officer cannot explain how or why this could have happened and to his knowledge the IDIS program has never had such an issue however in order to consistent with previous Board decisions when an electronic filing issue is presented, I recommend the appeal be granted. Furthermore, this issue cannot happen in IDIS 3.0. (As of 9/30/14, this Committee reported a funds available balance of \$4,774.89.)



Andy Nauman – Hearing Officer

October 9, 2014

High Wire Farm

Request for Hearing Affidavit 2014 0820.docx
Wednesday, August 20, 2014

1440065

Bob@RGALabs.com / Patricia@RGALabs.com

13 Country Oaks Lane / Barrington Hills, IL 60010 / Tel: (847) 381-8174

STATE BOARD OF ELECTIONS

14 AUG 25 AM 10:48

As you can see above, the very last line is a time tag which we add after the response we receive from your software which starts with the line "Collecting data for report.....done". We have no other way to verify that our report is registered other than the use of your automated response with our date time tag.

On Tuesday, April 29th, 2014, we received a letter indicating our failure to file. See Attachment A / Reference 2. I immediately contacted your office after verifying our records and spoke with Terra Molnar of your office – Reference 3. She indicated that there was no indication of our filing and could not explain why the software did not work. We have not had a software problem in the last couple of years in filing of our documents.

Ms. Molnar suggested we file again and then file an appeal. We filed again using our same procedure as in the 4/10/2014 case and we show the exact same results now with a new time tag of 04/29/2014 14:24:33 (RGA Diary entry # 28274).

You should note that in order to file our second filing for this period, we had to restore our database to an earlier configuration, post our January filing, so we could file again. The software did not appear to allow us to file a second time having showed that we filed properly on 4/10/2014.

It is our contention that we properly filed during the filing period and there was some problem in either your database or software that prevented our filing from being registered in Chicago.

If you have any further questions, please give me a call at 847-381-8174 or e-mail at Bob@RGALabs.com

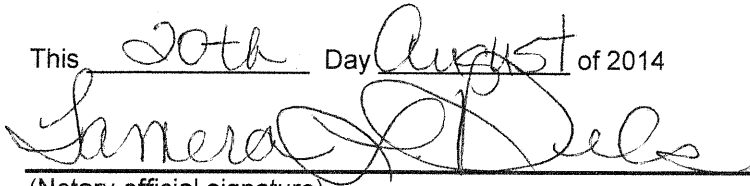
Sincerely,



Robert G. Abboud
13 Country Oaks Lane
Barrington Hills, IL 60010
Bob@RGALabs.com
847-381-8174

Subscribed and sworn before me in the county of Cook, State of IL.

This 20th Day August of 2014

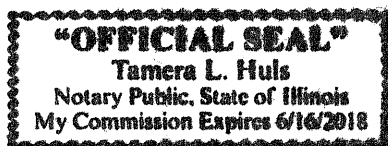


(Notary official signature)

6/16/18

(Commission Expiration)

(Notary Seal)



High Wire Farm

Request for Hearing Affidavit 2014 0820.docx
Wednesday, August 20, 2014

Bob@RGALabs.com / Patricia@RGALabs.com

13 Country Oaks Lane / Barrington Hills, IL 60010 / Tel: (847) 381-8174

To: Rupert T. Borgsmiller

Executive Director
State Board of Elections
State of Illinois
100 West Randolph, Suite 14-100
Chicago, IL 60601
312-814-6440

Subject: April Campaign Filing Error Affidavit – Committee ID 19066

Reference:

1. Abboud to Borgsmiller, "April Campaign Filing Error & Notice of Appeal – Committee ID 19066"
2. Country Oaks Committee to Elect Robert G. Abboud, Committee ID: 19066
3. Borgsmiller to R. Abboud, Re: Failure to file 4/1-15/2014 reporting period, received 4/29/2014
4. Call from R. Abboud to Terra Molnar, SBOE-Chicago office, 4/29/2014 14:30.

Mr. Borgsmiller:

This affidavit is in response to your letter of Reference 2 which indicates that our committee did not file in a timely manner. The committee takes the position that your records are in error and I ask for appeal of our fine. Our documentation shows that we filed properly on 04/10/2014 17:28:09.

On April 10, 2014, at approximately 1700 hours, we began our normal filing procedure using the SBOE software. The following is from our filing data base which is automatically time tagged - RGA Diary entry #28253

Abboud
Processing Quarterly
Updated all expenditures and contributions to date.
Fire up SQL services - COMPLETE
Updating first COMPLETE - no processed updates
Added all activity - NONE
Report validated OK

File Report
Pass: Newton21
Patricia is Treasurer
COMPLETE

Update task list for next filing.
COMPLETE

Process backups
COMPLETE

Collecting data for report.....done.
Creating XML for report.....done.
Sending report to the State Board of Elections...
Saving filing receipt.....done.
Updating filed receipts.....done.
Updating filed expenditures.....done.
Finishing update of filed data.....done.
Report SUCCESSFULLY filed.
04/10/2014 17:28:09

High Wire Farm

Request for Hearing Affidavit 2014 0820.docx
Wednesday, August 20, 2014

Bob@RGALabs.com / Patricia@RGALabs.com

13 Country Oaks Lane / Barrington Hills, IL 60010 / Tel: (847) 381-8174

Attachment A – Reference 2

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



2329 S. MacArthur
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-8440
Fax: 312/814-6485

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

BOARD MEMBERS

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William A. McGuffage
Bryan A. Schneider
Casandra B. Watson

Robert G Abboud
13 Country Oaks Ln
Barrington Hills, IL 60010

4/22/2014

Country Oaks Committee to Elect Robert G Abboud
Committee ID: 19066

Dear Robert G Abboud:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Quarterly Report of Campaign Contributions and Expenditures
Report Period: Jan 1, 2014 through Mar 31, 2014
Filing Period: Apr 1, 2014 through Apr 15, 2014

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report remains unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

If you fail to file a report, a complaint may be filed against this committee.

My staff and I are available to provide assistance in this matter.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director of Campaign Disclosure

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Country Oaks Committee to Elect Robert G Abboud ID# 19066
Robert G Abboud/Patricia A Abboud
13 Country Oaks Ln
Barrington Hills, IL 60010-9620

7012 3460 0001 3858 1023

Dear Country Oaks Committee to Elect Robert G Abboud:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/29/2014, 10 day(s) late. As such, this committee has been assessed a fine of \$250.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, reading "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 AM 052

Friends of Blake Sercye 25464

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

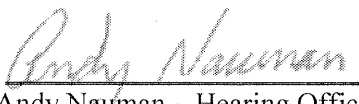
A Schedule A-1 in the 1st Quarter of 2014

This committee received a \$3,500 in-kind contribution on 2/18/14 and reported it on a Schedule A-1 on 2/24/14, 2 days late, resulting in civil penalty assessments of \$1,750. The total assessment is \$1,750.

The candidate, Blake Sercye, was in attended the October 3rd appeal hearing.

Mr. Sercye stated on his appeal affidavit and during the hearing that the Committee listed the date on the in-kind notification form rather than the date the notice was received on a Schedule A-1 that was filed on 2/24/14. The Respondent supplied the hearing officer with a print out of a copy of the e-mail that was attached to it the in-kind notification form as well as a copy of the in-kind notification form that was received on 2/24/14. The Respondent requests that since the Committee listed the wrong date on the Schedule A-1 that the appeal be granted.

I recommend the appeal be granted. The e-mail, and the in-kind notification form shows that the Committee would have reported this contribution on the deemed receipt date (the day the notification form was received) on a Schedule A-1 that was received on 2/24/14. I also recommend the Committee to amend their March 2014 Quarterly Report to reflect the actual receipt date of 2/24/14, within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. (As of 9/30/14, this Committee reported a funds available balance of \$4.18.)


Andy Nauman – Hearing Officer
October 10, 2014

CHICAGO

2014 AUG 21 PM 2:52

STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14A4052

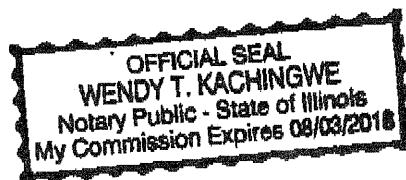
Friends of Blake Sercye)
Respondent(s).)

APPEAL AFFIDAVIT

I, Blake Sercye, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Blake Sercye
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see enclosed letter.



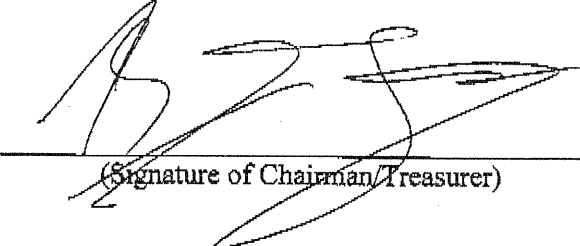
Signed and Sworn to by:

Blake Sercye

before me this 21st Day of

August, ~~2014~~ 2014

Wendy T. Kachingwe
Notary Public


(Signature of Chairman/Treasurer)

4
August 15, 2014

CHICAGO
2014 AUG 21 AM 8:25
STATE BOARD OF ELECTIONS

Friends of Blake Sercye
5830 W. Midway Park
Chicago, Illinois 60644

25464-12

Illinois State Board of Elections
100 W. Randolph 14-100
Chicago, Illinois 60601

Dear Board of Elections:

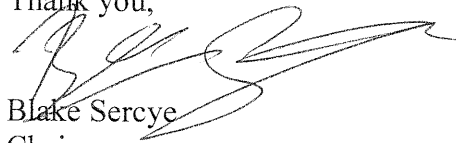
I am writing in response to the letters you sent to Friends of Blake Sercye (the "Committee") dated July 22, 2014.

One of the letters stated that the Committee failed to file our report for the second quarter of 2014 before the July 15, 2014 deadline. The Committee's report was filed on July 16, 2014. Due to the filing's close proximity to the deadline and the filing being the only late filing to date for the Committee, we hope that you will not fine the Committee.

The second letter received stated that the Committee failed to file in a timely fashion an in-kind contribution received from the New Chicago Committee during the first quarter of 2014. The Committee, however, filed receipt of the in-kind contribution within two days notice as prescribed by law. According to 10 ILCS 5/9-10(c), contributions of larger than \$1,000 are to be filed within two days of receipt if received fewer than 30 days before an election. Further, 10 ILCS 5/9-10(d) states that the date a contribution is received for the purpose of the statute is when the committee receives notice of the contributed service. Although the date the services were conducted according to our in-kind contribution form is February 18, 2014, the Committee did not receive notice of the services until February 24, 2014. On that date, the Committee received an email from the New Chicago Committee detailing the services performed and attaching an in-kind contribution form. (See Exhibit 1, enclosed with this letter). Upon notice of receiving this contribution, the Committee had until February 26, 2014, according to 10 ILCS 5/9-10(c) and (d), to file receipt with the Board of Elections. The Committee filed receipt of the contribution on February 24, 2014, well before the February 26, 2014 deadline. Therefore, the Committee filed receipt of the New Chicago Committee's in-kind contribution in a timely fashion.

Please do not hesitate to contact me if you would like to discuss this matter further.

Thank you,



Blake Sercye
Chairman
Friends of Blake Sercye

Exhibit 1



Blake Sercye [REDACTED]

In kind form

[REDACTED]@newchicagolc.com>

Mon, Feb 24, 2014 at 2:11 PM

To: [REDACTED] Blake Sercye [REDACTED]

Hi guys,

I'm sending you an inkind notice [REDACTED]

[REDACTED]

[REDACTED]

After receiving this email, you have 48 hours to file this. So, by the end of wednesday. Call me if you have any questions.

[REDACTED]



In-Kind Notify Blake Sercye.doc

114K

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send the form to the State Board of Elections

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends of Blake Sercye

New Chicago Consulting LLC

5830 W Midway Park

1040 West Adams

Chicago, IL 60644-1803

Chicago, IL 60607

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)

Date
2/18/14

Value
\$3,500

Description: Research Expense

New Chicago Consulting LLC
1040 West Adams
Chicago, IL 60607

Date
2/18/14

Value
\$293.75

Description: Event Expense

Vendor Name: Union League Club

Vendor Address: 65 West Jackson,
Chicago, IL 60604

The political committee must report this contribution on their Form D-2, Section A, Part 5.

If the In-Kind Contribution is valued at \$1000 or more, the political committee must report it on an A-1 within 2 business days of the receipt of the In-Kind Contribution Notification



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends of Blake Sercye
Blake P. Sercye
5830 W. Midway Park
Chicago, IL 60644

ID# 25464

7012 3460 0001 3857 9358

Dear Friends of Blake Sercye:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
New Chicago Consulting LLC	2/18/2014	\$3500	2/24/2014	2	\$1750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1750.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$175.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

14 AM 053

Dillard for Governor 2014

Cmte ID: 25474

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 Report

The Report was received on March 11th, 2014, with respect to a contribution of \$2,300, dated March 6th, 2014, from Mary Hudgins. The A-1 was 1 day late, resulting in a fine of \$1,150. This is the Committee's first offense.

Glenn Hodas, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. Hodas stated the donation in question was made via an online donation program, Anedot. He said Ms. Hudgins made the donation on March 6th, but it was not deposited in the Committee's account until March 8th, a Saturday, and that would have made the March 11th A-1 filing within the two business day period allowed for such donations. The donation was attached to a March 6th date on the Committee's initial March Quarterly Report, filed April 15th. An amended Report filed on August 7th identified the date of the donation as March 8th. Mr. Hodas submitted as part of the appeal a screen shot of the Committee's Anedot transactions during the period in question. It shows a transfer of \$3,494.05 from the Anedot account to the Committee's account on March 8th. Mr. Hodas stated the Hudgins donation of \$2,300 was part of said transfer.

I recommend the appeal be granted. While the Committee did not supply a document directly substantiating its version of the timeline here, it has provided supporting documentation sufficient to give it the benefit of the doubt. The Committee filed a Final Report on August 16th, 2014, with an ending cash balance of \$0.00.



John Levin – Hearing Officer
October 24th, 2014

State of Illinois)
County of : Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 14 AUG -8 AM 11:57
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Dillard for Governor 2014)
Respondent(s).)

Case No. 14A M053

APPEAL AFFIDAVIT

I, Glenn Hodas, the Treasurer of the
(Name) (Chairman/Treasurer)

Dillard for Governor 2014
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please See Attached

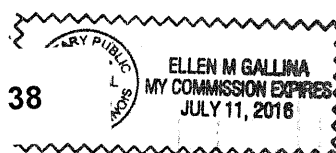
Glenn Hodas
Signature of Chairman/Treasurer

Signed and Sworn to by:

Ellen M. Gallina

before me this 6th Day of August, 2014

Notary Public
(seal)



It is the practice of this committee to file A1's immediately upon deposit of donations which require one.

The donation in question was made via an online donation program, Aneidot. When a donor wishes to make a donation they do so by inputting their credit card. The campaign is then notified when the transaction is complete. But despite said notification, those funds are not available to the campaign. Funds are only available once the campaign physically moves the funds from Aneidot's account into our campaign account. Due to the sheer volume of small contributions which are made through this on-line method, the campaign can only transfer funds into its campaign account on a weekly basis. Doing so more frequently is simply not practical, nor financially realistic given the fees involved in transferring.

On March 6, 2014, Mary Hudgins donated \$2,300 via Aneidot. On March 8, 2014 the campaign moved the funds from Aneidot into the campaign account. Those funds were received by our bank and were made available to the campaign on March 12, 2014

When the A1 was filed, the wrong date of deposit was used, March 6, 2014. The correct date that the donation in question was put into the campaign's bank account is March 8, 2014. If we had done so the A1 filed on March 11, 2014 would have been within the correct reporting period. The campaign has recently amended the Second Quarter D2 to reflect the proper date, March 8, 2014.

We respectfully request you take this in consideration.

V...



anedot

03/08/2014 Withdrawal to Dillard for Governor 2014 (****9768) -\$3,494.05 \$0.00 \$0.00

03/08/2014 Donation from David L Bartholomew (Credit Card via Hosted Form) \$100.00 -\$4.20 \$3,494.05

03/08/2014 Donation from John & Linda Bocskovits (Credit Card via Embedded Form) \$100.00 -\$4.20 \$3,398.25

03/07/2014 Donation from Amy Maher (Credit Card via Embedded Form) \$35.00 -\$1.66 \$3,302.45

03/07/2014 Donation from ROBERT A STROCHER (Credit Card via Embedded Form) \$35.00 -\$1.66 \$3,269.11

03/07/2014 Donation from ROBERT A STROCHER (Credit Card via Embedded Form) \$10.00 -\$0.69 \$3.

03/07/2014 Donation from ROBERT A STROCHER (Credit Card via Embedded Form) \$19.00 -\$1.04 \$3. 40

03/07/2014 Donation from WILLIAM L EPPERLY (Credit Card via Embedded Form) \$135.00 -\$5.56 \$3,208.50

03/07/2014 Donation from Tanya L Galer (Credit Card via Embedded Form) \$20.00 -\$1.08 \$3,079.06

03/07/2014 Donation from Jeremy Rose (Credit Card via Embedded Form) \$10.00 -\$0.69 \$3,060.14

03/07/2014 Donation from Timothy Bowden (Credit Card via Mobile Device) \$19.00 -\$1.04 \$3,050.83

03/07/2014 Donation from Kristina Stephenson (Credit Card via Embedded Form) \$100.00 -\$4.20 \$3,032.87

03/07/2014 Donation from Daniel R Schram (Credit Card via Hosted Form) \$10.00 -\$0.69 \$2,937.07

03/06/2014 Donation from Charles J Gramlich (Credit Card via Hosted Form) \$100.00 -\$4.20 \$2,927.76

03/06/2014 Donation from Mary Huddins (Credit Card via Hosted Form) \$2,300.00 -\$90.00 \$2,831.96

03/06/2014 Donation from Vincent C Wroblewski Jr (Credit Card via Hosted Form) \$50.00 -\$2.25 \$621.96

\$0.00
\$14,931.00

Funds





March 01, 2014 through March 31, 2014
Account Number: 000000917219768

DEPOSITS AND ADDITIONS

(continued)

DATE	DESCRIPTION	AMOUNT
03/10	Fedwire Credit Via: Bank of Springfield/071108559 B/O: Illinois Education Assc Springfield IL 62704-0000 Ref: Chase Nyc/Ctr/Bnf=Dillard For Governor 2014 Springfield IL 62704-1371/Ac-000000009172 Rfb=O/B Bank Springf Bbi=/Time/13:07 Imad: 0310Gmqfmp01005159 Trn: 3071909069Ff	150,000.00
03/10	Fedwire Credit Via: Amalgamated Bank/026003379 B/O: Afsome-Special Account Washington DC 20036 Ref: Chase Nyc/Ctr/Bnf=Dillard For Governor 2014 Springfield IL 62704-1371/Ac-000000009172 Rfb=O/B Amalgamated Bbi=/Time/13:24 Imad: 0310B1Q8681C000043 Trn: 3231709069Ff	100,000.00
03/10	Rally/Pirx ACH PPD ID: 5330903620	282.75
03/11	ATM Check Deposit 03/11 2801 Greenbriar DR Springfield IL Card 3134	13,900.00
03/11	Fedwire Credit Via: Bank of America, N.A./026009593 B/O: Citizens For Kirk Dillard Westmont IL 60559-2088 Ref: Chase Nyc/Ctr/Bnf=Dillard For Governor 2014 Springfield IL 62704-1371/Ac-000000009172 Rfb=Msknwj9S	25,000.00
03/11	Bbi=/Chgs/USD0.00/Ocm/USD25000.00/Imad: 0311B6B7Hu4R009528 Trn: 4796509070Ff	
03/11	Deposit	50,000.00
03/11	Rally/Pirx ACH PPD ID: 5330903620	739.86
03/12	Fedwire Credit Via: Bank of Springfield/071108559 B/O: Illinois Education Assc Springfield IL 62704-0000 Ref: Chase Nyc/Ctr/Bnf=Dillard For Governor 2014 Springfield IL 62704-1371/Ac-000000009172 Rfb=O/B Bank Springf Bbi=/Time/13:50 Imad: 0312Gmqfmp01005236 Trn: 3364609071Ff	50,000.00
03/12	Anedot Inc Payment Di Dillard For Gov CCD ID: 9585280001	3,494.05
03/12	Anedot Inc Payment Di Dillard For Gov CCD ID: 9585280001	230.34
03/13	ATM Check Deposit 03/13 2801 Greenbriar DR Springfield IL Card 3134	3,450.00
03/13	Fedwire Credit Via: Illinois National Bank/071109338 B/O: Afsome Council 31 Springfield IL Ref: Chase Nyc/Ctr/Bnf=Dillard For Governor 2014 Springfield IL 62704-1371/Ac-000000009172 Rfb=O/B Ill Natl Bk Bbi=/Time/10:18 Imad: 0313Qmqfmp01000215 Trn: 1885709072Ff	50,000.00

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Dillard for Governor 2014
837 S. Westmore Ave, Ste A
Lombard, IL 60148

ID# 25474

7012 3460 0001 3857 9341

Dear Dillard for Governor 2014:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mary Hudgins	3/6/2014	\$2300	3/11/2014	1	\$1150

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1150.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$115.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

14 MQ 162

Citizens for Tim Bradford 25581

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2014 Quarterly Report

The March 2014 Quarterly Report was received on 5/11/14, 18 days late, resulting in an assessment of \$1,800. Additionally, the Committee had previously been assessed a \$500 civil penalty (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report. The total assessment is \$2,300.

Tim Bradford, the candidate appeared at the October 3rd appeal hearing.

Mr. Bradford stated that making a person such as himself be required to file electronically puts him at a disadvantage because he is not involved with the current technology of the day. Therefore, he got another older gentleman who is more technically inclined to file his reports for him, however the program proved to be too complicated for him and he obtained errors that prevented him from filing. The gentleman got so frustrated that he informed Mr. Bradford that he would not be able to file the report. However, at the same time as finding this out the candidate's sister-in-law (his brother's wife) had fallen very ill and died unexpectedly. Therefore, Mr. Bradford had to temporarily set aside his campaign responsibilities to tend to family matters before he could get the report filed. Mr. Bradford is rather confident now that he has a younger gentleman working on his electronic filings that he will have no additional problems going forward.

My sympathy goes out to Mr. Bradford's family. That said, I realize the person Mr. Bradford had assisting him didn't understand the program and I don't know what type of errors the gentleman had incurred however since they prevented him from being able to file the report, I recommend the appeal be granted. This is consistent with the Board's prior decisions when using such a defense. (As of 9/30/14, this Committee reported a funds available balance of \$583.40.)



Andy Nauman – Hearing Officer
October 14, 2014

State of Illinois)

County of: _____)

STATE BOARD OF ELECTIONS

14 AUG 22 AM 8:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14MQ162Citizens for Tim Bradford
Respondent(s).

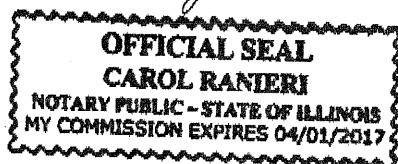
APPEAL AFFIDAVIT

I, Tim Bradford, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Tim Bradford
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The electronic filing has been very confusing to
me versus the paper filing. I'm somewhat antiquated.Tim Bradford
Signature of Chairman/Treasurer

Signed and Sworn to by:

TIM BRADFORDbefore me this 21ST Day of August, 2014Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

ID# 25581

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Citizens for Tim Bradford
Tim Bradford
21010 London Drive
Olympia Fields, IL 60461

7012 3460 0001 3858 0453

Dear Citizens for Tim Bradford:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/11/2014, 18 day(s) late. As such, this committee has been assessed a fine of \$1800.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$500.00
TOTAL AMOUNT NOW DUE		\$2300.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 DQ 014

Citizens for Linda S Frank
Cmte ID: 4156
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2013 Quarterly Report

The Report was received on March 20th, 2014, 43 days late, resulting in an assessment of \$2150. The Committee was fined for six prior delinquent Reports and has resolved all outstanding assessments through settlement with the Board and/or payment in full.

Linda Frank, the Candidate supported by the Committee, waived her appearance and filed an appeal affidavit in this case.

On the affidavit, Ms. Frank stated her former campaign treasurer was ill at the time of the filing deadline for the Report in question (and subsequently died). Ms. Frank stated the treasurer mistakenly told her the Report had been filed.

While I sympathize with Ms. Frank, I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$2150 would be due and owing. Ms. Frank has filed, along with her appeal, correspondence asking for a settlement offer of one half of the assessment (\$1075) if the Board chooses not to waive the fine. The Committee filed a Final Report on March 20th, 2014, with an ending balance of \$0.00. Under Section 125.425 of the Rules accompanying the Act, the assessment would be abated if the Committee does not reactivate within two years of the final board order in this matter.



John Levin – Hearing Officer
September 5th, 2014

State of Illinois)

County of: Champaign)

STATE BOARD OF ELECTIONS

14 SEP -3 PM 2:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14DQ014Linda S. Frank)

Respondent(s).)

APPEAL AFFIDAVIT

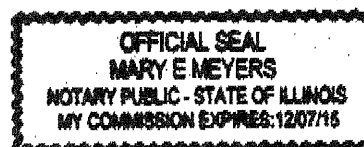
I, Linda S. Frank, the Chairman of the
(Name) (Chairman/Treasurer)Citizens for Linda Frank

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached.Linda S. Frank
Signature of Chairman/Treasurer

Signed and Sworn to by:

Mary E. Meyersbefore me this 28th Day of August, 2014Notary Public
(seal)

Linda S. Frank 1113 Mayfair Road Champaign, Illinois 61821

STATE BOARD OF ELECTIONS

14 SEP -3 PM 2:00

August 28, 2014

Sharon Steward Director
Campaign Disclosure Division
2329 S. MacArthur Blvd.
Springfield, IL 62704

Dear Ms. Steward and the State Board of Elections:

Thank you for the opportunity to appeal the delinquent filings of required campaign disclosure reports. I appreciate that you have allowed me to become a Drainage District Commissioner and continue my service to the community while this appeal is active.

I'm writing to have my case # 14DQo14 reviewed and am requesting a waiver or settlement of \$1,075 half of the civil penalty of \$2,150.00 for delinquent filings. Additionally, I would like to explain the set of circumstances that have brought me to this point.

My background is 9 years as a County Board member and 21 years as the Circuit Clerk of Champaign County retiring in 2012.

The treasurer of my campaign committee was ill at the time of the filing periods that were missed. I asked him if he filed the financial documents and he said he had. Unfortunately, he probably was thinking of another filing period. At that time I was unaware of his illness and how it affected mental capacity. When I received the complaint regarding the absent filings he told me he had written an appeal. I had no reason to think the appeal was not done. Since that time my treasurer has died.

Thank you for your time and consideration.

Sincerely,



Linda S. Frank

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

April 25, 2014

ID# 4156

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Citizens for Linda S. Frank
Fred Wilkinson
718 S Broadway
Urbana, IL 61801

7012 3460 0001 3857 2939

Dear Citizens for Linda S. Frank:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2013 through December 31, 2013
Filing Period:	January 1, 2014 through January 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 3/20/2014, 43 day(s) late. As such, this committee has been assessed a fine of \$2150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by May 26, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 MQ 079

Friends of Linda Fulgenzi
Respondent

ID# 21027

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the March 2014 Quarterly Report

The Quarterly Report was received by the Board on 4/24/14, 7 days late, resulting in a civil penalty assessment of \$175.

Justin Reichert, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The hearing was held on 9/26/14 via telephone with Mr. Reichert. Mr. Reichert stated that the Assistant Treasurer turned over the electronic filing responsibility to him. He was not accustomed to filing and did not create a reminder. He asks the fine be waived and says the matter has been rectified through numerous calendar reminders.

I recommend the appeal be denied. As a first violation, the penalty is stayed.

(As of 6/30/14, this Committee reported a funds available balance of \$2,891,30.)



Kim Patrick – Hearing Officer
October 1, 2014

State of Illinois)
County of: Jungamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
14 AUG 22 PM 3:43

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Linda Fulgenzi)
Respondent(s).)

Case No. 14MQ079

APPEAL AFFIDAVIT

I, Justin Reichert, the Treasurer of the
(Name) (~~Chairman~~ Treasurer)
Friends of Linda Fulgenzi
(Name of the Committee)

Committee, first being duly sworn, deposes and states that ~~he~~ she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This was first time filing for myself as Treasurer. I had an
"asst. Treasurer" who had done it all before. He turned it back
over to me when he had his first child. I was unaccustomed
to doing this and did not create a reminder for filing. I
missed filing by a few days. All info was submitted. There was no
election for 6 months. I only hope to have the stayed fine of \$175.00
waived as this was a matter I have rectified
through numerous calendar reminders.

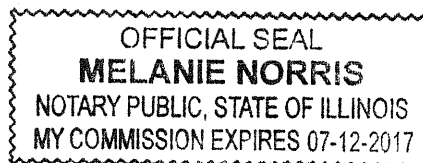
Justin Reichert
Signature of Chairman Treasurer

Signed and Sworn to by:

Melanie Norris

before me this 22 Day of August, 20 14

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

Friends of Linda Fulgenzi
James F. Fulgenzi
2475 W. Monroe Street
Springfield, IL 62704

ID# 21027

Dear Friends of Linda Fulgenzi:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2014 through March 31, 2014
Filing Period: April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/24/2014, 7 days late. As such, this committee has been assessed a fine of \$175.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 MA 018

Cushing for Judge 25564

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently and Failing to File Schedule A-1 Reports

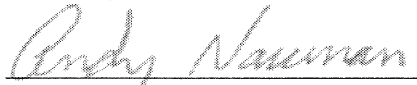
The Committee received one \$1,500 contribution and three \$1,000 contributions and failed to report the contributions on a Schedule A-1, resulting in a civil penalty of \$2,250. The Committee also received one \$1,000 contribution and two \$5,000 contributions on 2/24/14, and reported it on a Schedule A-1 received by the Board on 3/2/14, 3 days late, resulting in a civil penalty of \$5,500. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1 in the 3rd Quarter of 2013. The total assessment is \$8,000.

The Respondent was represented by attorney James P. Nally at the October 3rd appeal hearing. Also in attendance was Thomas Cushing, the candidate, and Joseph Cushing the chairman of the committee.

Mr. Nally stated that the candidate was in a unique situation because he was running for Circuit Court Judge and as per the Judicial Code Rule 67 the candidate is restricted on when he can raise funds and he is not allowed to pay attention to the fundraising of his Committee. Thomas Cushing stated that he is aware of the filing requirements of a political committee because he has been a treasurer of a committee in the past so he knew he had to make sure he had a responsible person filing reports on his behalf. The treasurer that he selected for the job came highly recommended to him from a former Judge and the candidate assumed the gentleman would file reports accordingly. Once, Thomas Cushing became aware that his treasurer had delinquently filed a Schedule A-1 he asked his treasurer if they had any other problems and he was informed that the Committee was not going to have any more violations. Thomas Cushing explained that later on he was informed that his filings were possibly not correct and he again reached out to his treasurer and asked if he was on top of the filings and the treasurer said everything had been taken care of. Thomas Cushing has since realized that his treasurer was not up to the task and has since had his brother Joseph take over the filing requirements. The candidate is deeply troubled that the treasurer of his Committee did not follow the letter of the law and the spirit of the Rules because this is very important to him. Mr. Nally stated that all contributions and expenditures have been reported and he cannot explain why the reports were not filed other than the person that Mr. Cushing tasked and trusted to do the job failed him. The candidate was in a Catch-22 because he couldn't watch over his treasurer to make sure that he was reporting correctly because Rule 67 prevents him from doing so; the Respondent asks for consideration in this matter.

I understand the predicament that the candidate was in concerning Rule 67 however judicial candidates still have the same filing requirements as every other candidate committee and violations occurred. Therefore, I recommend the appeal be denied. However, since there is no

indication the violations were anything other than inadvertent and unintentional, I recommend the violations be reduced as follows: the Cushing and Company contribution reduced to 10% of the original assessment, or \$75 because it falls into the same quarter as a previously reported Schedule A-1 violation; the Mary Cushing, Pavan Nigam and the Joseph Power contributions reduced to 50% of the original assessment, or \$750 as a second set of Schedule A-1 violations; and the John Barsella, Cooney and Conway, and the Dina Hubell contributions be assessed at 100% of the original assessments, or \$5,500 as a third set of Schedule A-1 violations. If this recommendation is accepted by the Board a \$6,350 penalty will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.


Andy Nauman – Hearing Officer
October 9, 2014

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Cushing for Judge)
Respondent(s).)

Case No. 14 MA 018

APPEAL AFFIDAVIT

I, Joseph X. Cushing, the Chairman of the
(Name) (Chairman/Treasurer)
Cushing for Judge
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

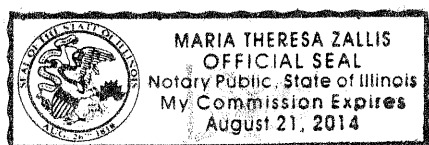
mitigating circumstances

Joseph Cushing
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 14 Day of August, 20 14

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Cushing for Judge
Joseph X. Cushing
420 W. Huron
Chicago, IL 60654

ID# 25564

Dear Cushing for Judge:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 3rd quarter of 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Cushing and Company	9/22/2013	\$1500	*	131	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 4th quarter of 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mary Cushing	12/31/2013	\$1000	*	4	\$500
Pavan Nigam	12/31/2013	\$1000	*	4	\$500
Joseph Power	12/31/2013	\$1000	*	4	\$500

Your committee is subject to a fine of \$1500.00 for delinquently filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 1st quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Barsella	2/24/2014	\$5000	3/2/2014	3	\$2500
Cooney and Conway	2/24/2014	\$1000	3/2/2014	3	\$500
Dina Hubell	2/24/2014	\$5000	3/2/2014	3	\$2500

Your committee is subject to a fine of \$5500.00 for delinquently filing Schedule A-1 reports.

Since this is the forth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all new assessments in this letter is \$7375.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2013	A1	\$250
TOTAL AMOUNT NOW DUE		\$7625

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 MA 023

Committee to Elect Molt for Judge
Respondent

ID# 25872

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a D-1 Statement of Organization
and Failure to File Schedule A-1 Reports

The Committee's D-1 Statement of Organization was received by the Board on April 3, 2014, 50 days late, resulting in a civil penalty assessment of \$2,500. The Committee received a \$3,750 contribution on 1/2/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,875. The Committee also received two \$1,150 contributions on 3/1/14 and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$1,150. The total assessment is \$5,525.

Michael Molt, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Molt states that the three contributions in question were all from family members, specifically the candidate's wife, brother and sister-in-law. He says the late reporting of the contributions was unintentional, and stemmed from a misinterpretation of the reporting requirements. Mr. Molt argues that because the two \$1,150 in-kind contributions were for radio advertising, the actual benefit of those contributions was not received until each advertisement was broadcast and therefore there was no particular date on which more than \$1,000 was received by the Committee. He adds that because full disclosure was made of all sources and amounts of contributions to the Committee, the intent of the Campaign Disclosure Act was met. No defense is offered for the late D-1 filing.

I recommend the appeal in regards to the late filing of a D-1 Statement of Organization be denied for lack of an adequate defense. In regards to the late A-1 filings, while it is true the contributions were eventually reported by the Committee, the Disclosure Act is quite clear (in Section 9-10(c)) that contributions of \$1,000 or more must be promptly reported – which the Committee failed to do. The three contributions were in fact reported between 49 and 62 days later than required. Additionally, since the date of receipt for an in-kind contribution is considered to be the date the recipient Committee receives notice of the contribution, the argument relating to the air date of the purchased advertising is not applicable. For these reasons, I recommend the appeal for the A-1 violations also be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$302. If these recommendations are accepted by the Board, the total assessment of \$2,802 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$0.)


Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
)
County of : WAYNE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 20 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 14MA023
)
COMMITTEE TO ELECT MOLT FOR JUDGE)
Respondent(s).)
I.D. #25872)

APPEAL AFFIDAVIT

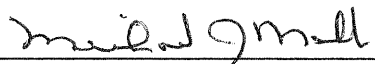
I, MICHAEL J. MOLT, the Candidate/Treasurer of the
(Name) (Chairman/Treasurer)

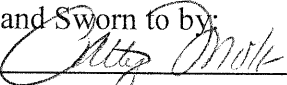
COMMITTEE TO ELECT MOLT FOR JUDGE

(Name of the Committee)

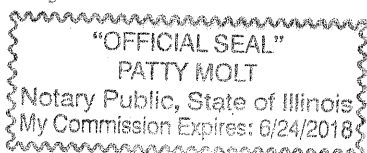
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Patty Molt is the wife of the Candidate and considered immediate family. The total amount of
her contribution was disclosed, and there was no intent to conceal the amount or source of the
contribution. Patrick Molt is the brother of the Candidate, and Jessica Molt is the wife of
Patrick Molt. The total amount of in-kind contributions were disclosed, and the contributions
of \$1,150 each from Patrick Molt and Jessica Molt were reported as in-kind contributions. This


Signature of Chairman/Treasurer

Signed and Sworn to by: 
before me this 14th Day of August, 2014

Notary Public
(seal)



APPEAL AFFIDAVIT REASONS AND DEFENSES

(Continued)

contribution was made by purchasing radio advertising, and the actual benefit of the contribution was received as each short radio advertisement was broadcast. Based on this fact, there was never any particular date on which more than \$1,000 of radio advertising, contributed by Patrick Molt and Jessica Molt, was received.

All of the contributions listed in the July 22, 2014 letter from the State Board of Elections were reported with no intent to secrete the amount of the contribution or the contributor. The Candidate made full disclosure of the sources and amounts of the campaign contributions, and had no intent to do anything that would impact the integrity of the electoral process in the March, 2014 Primary Election for Wayne County Resident Circuit Judge. The Illinois State Legislature, when it passed the Campaign Disclosure Act, desired the public to be informed of the total contributions received and expended by a political committee, the names of significant contributors, and of individuals to whom a political committee is indebted [Walker vs. State Board of Election, 72 Ill. App. 3d 877, 391 N.E. 2d 507 (1st Dist., 1979)]. The intent of the legislature has been served by the reporting by the Committee to Elect Molt for Judge. The Committee to Elect Molt for Judge did not intentionally fail to properly report campaign contributions. The late reporting was not intentional and was as a result of the Committee's misinterpretation of the reporting requirements. The undersigned respectfully requests that the civil penalties described in the July 22, 2014 letter from the State Board of Elections be waived for the reasons stated herein.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

Committee to Elect Molt for Judge
Michael Molt/Patty Molt
Po Box 652
Fairfield, IL 62837

ID# 25872

7012 3460 0001 3857 9020

Dear Committee to Elect Molt for Judge:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 3, 2014, 50 day(s) late. As such, this committee has been assessed a fine of \$2500.00.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Patty Molt	1/2/2014	\$3750	4/14/2014	62	\$1875
Jessica Molt	3/1/2014	\$1150	5/13/2014	49	\$575
Patrick Molt	3/1/2014	\$1150	5/13/2014	49	\$575

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3025.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$303.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all new assessments is \$2803.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS

**THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

14MA-CL001

Friends of George A Cardenas 17290
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for a Violation of Contribution Limits
Received during the 7/1/11 – 2/24/15 Election Cycle

This committee received contributions from Howard Labkon on 8/15/11 and 6/4/12 for \$5,000 each which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$5,000 and a civil penalty assessment of \$7,500. This committee received contributions from Acme Refining Scrap Iron & Metal Co on 6/4/12 for \$1,500, 2/7/13 for \$5,000, and 6/3/13 for \$5,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,500 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$1,000 and a civil penalty assessment of \$1,500. This committee received contributions from Shadow Metals Inc on 1/18/12 for \$3,500, 1/26/13 for \$5,000, and 6/3/13 for \$5,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,500 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$3,000 and a civil penalty assessment of \$4,500. This committee received contributions from John Rock on 10/28/11 for \$250, 1/18/12 for \$2,000, 6/4/12 for \$250, 8/8/12 for \$750, and 8/20/13 for \$2,250 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,300 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$200 and a civil penalty assessment of \$300. This committee received contributions from Chicago Indoor Sports 10/28/11 for \$3,000, 1/17/12 for \$2,500, 5/23/12 for \$2,500, 12/14/12 for \$990, 12/14/12 for \$990, 12/14/12 for \$975, 12/14/12 for \$975, 12/14/12 for \$900, and 12/14/12 for \$170 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,000 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$3,000 and a civil penalty assessment of \$4,500. This committee received contributions from Marque Medicos Management Services 1/17/12 for \$5,000, 5/18/12 for \$5,000, and 8/8/12 for \$1,500 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,000 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$1,500 and a civil penalty assessment of \$2,250. Additionally, this committee received another contribution from Marque Medicos Management Service on 6/28/13 for \$1,000 which also exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,500 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a

required escheatment of \$500 and a civil penalty assessment of \$750. This committee received contributions from Active Roofing on 9/30/11 for \$2,500, 10/28/11 for \$1,500, 1/5/12 for \$5,000, 6/4/12 for \$5,000, and 8/10/12 for \$4,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,000 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$8,000 and a civil penalty assessment of \$12,000. Additionally, this committee received contributions from Active Roofing on 12/20/13 and 12/26/13 for \$5,000 and \$10,000 which also exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,500 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$14,500 and a civil penalty assessment of \$21,750. This committee received contributions from PLS Financial Services Inc on 9/1/11 for \$5,000, 12/20/11 for \$1,500, 9/12/12 for \$1,500, 9/13/12 for \$2,000, 11/27/12 for \$950, 11/27/12 for \$950, 11/27/12 for \$950, 11/27/12 for \$950, 11/27/12 for \$250, and 12/19/12 for \$375 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,000 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$5,375 and a civil penalty assessment of \$8,062.50. Additionally, this committee received contributions from PLS Financial Services Inc on 6/28/13 and 8/20/13 for \$1,000 and \$1,500 which also exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$10,500 to the contributor or donate the same amount to a charity within 30 days as required by statute, resulting in a required escheatment of \$2,000 and a civil penalty assessment of \$3,000. The total assessment is \$66,112.50 and the total escheatment is \$44,075

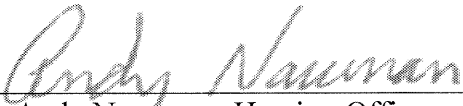
The Respondent was represented by attorney James P. Nally at the October 23rd appeal hearing. Also in attendance was the candidate, George Cardenas, and the treasurer's assistant, Anabel Abarca.

Mr. Nally stated that the Committee took steps to return the contributions within 30 day of 5/30/14. Mr. Nally provided the hearing officer with a Memo (attached) from Anabel Abarca that outlines the circumstances involved in this situation. He explained that Exhibit A, which is an assessment letter dated 8/26/14, was used to determine the amount of each violation and that the Committee wrote checks on 6/28/14, and Mr. Cardenas personally gave those checks to the contributors identified on each check. Mr. Nally introduced Exhibits B, which were copies of 7 of the 8 checks and Exhibit C, which contained a copy of the 8th check. Mr. Nally also explained that Exhibit C was introduced to show that only three of the checks have been cashed as of the date of the hearing. Exhibit C is print out of from Bank of America's website showing account activity related to three checks that cleared the bank. Mr. Nally, Mr. Cardenas and Ms. Abarca all explained that the Committee does not report expenditures until the check clears the bank and as such it would appear the Committee is not in compliance however the Committee in good faith wrote and hand delivered the overage refund checks. Ms. Abarca explained that the three checks that were cashed were reported on the September 2014 Quarterly Report and a copy of a D-2 and a Schedule B were introduced as Exhibit D to show the checks were reported for these three checks. Exhibit E, which is an e-mail dated 6/28/14 written by Ms. Abarca to Mr. Nally, was introduced to show the Committee's intention to comply with the law. Furthermore, Ms. Abarca stated that a reminder e-mail has been sent to the remaining entities that had not cashed the checks. Mr. Cardenas went on further to say that if the checks are not cashed that he will write an equal check to a charity to comply with the law however by writing the initial checks the Respondent believes they have complied with the law. In relation to how the committee reports expenditures they have a CPA that keeps the books and they have strictly always reported on a cash on hand basis. The Respondent asks the Board for guidance on how they would like the expenditures reported going forward.

The original letter dated 5/30/14 that informed the Committee of the excess contribution limits as well as the letter dated 8/26/14 both listed amounts of excess contributions that did not completely account for contribution limits being adjusted on 1/1/13. Therefore, the Committee actually wrote checks that were greater than required in relation to 5 of the 8 sources that were identified as being in excess of contribution limits.

<u>Contributor:</u>	<u>Amt in Excess</u>	<u>Amt of Check</u>	<u>Status</u>
Howard Labkon	\$5,000	\$5,000	not cashed
Acme Refining Scrap Iron & Metal Co	\$1,000	\$1,500	cashed
Shadow Metals Inc	\$3,000	\$3,500	cashed
John Rock	\$200	\$200	not cashed
Chicago Indoor Sports	\$3,000	\$3,000	not cashed
Marque Medicos Management Service	\$2,000	\$2,500	not cashed
Active Roofing	\$22,500	\$23,000	not cashed
PLS Financial	\$7,375	\$7,875	cashed

However, since this Committee has also received additional contributions in excess of contribution limits from each of the three contributors that have already cashed the checks the hearing officer believes that the overage payments are going to be a wash since additional funds will still need to be returned or given to a charity in relation to each entity. The Respondent attempted to return the contributions overages within 30 days as shown on the date of the checks however not all of the original contributors cashed those checks. The hearing officer believes that an attempt to comply with the law was acted upon by the Respondent and I recommend the appeal be granted. If the Board accepts this recommendation an escheatment to the General Revenue Fund would not be required. However, since some of the checks have not been cashed I also recommend that the Committee contact the entities that have not cashed the checks again and inform them that if they do not cash the checks that the Committee will have to give an equal amount of the contribution overage to a charity. Furthermore, I recommend that the Committee attempt to complete this process within the 30 days of the Final Order. Lastly, although the Statute does not specifically state how an expenditure should be reported I recommend the Board inform the Respondent that it is common practice for a committee to report the expense on the date the check is written going forward rather than the day it actually clears the bank.


 Andy Nauman – Hearing Officer
 October 29, 2014

Memo

From: Anabel Abarca

To: Friends of George Cardenas Campaign Committee

Re: 2014 Excess Contributions

In June 2014, the Illinois Board of Elections sent a notice regarding excess contributions made to the FOGC committee in 2011 and 2012. These excess contributions were as follows:

Note: The total amount of the amount in violation of limits is in parentheses. These are found in Attachment A.

1. Howard Labkon (\$200)
2. Acme Refining Scrap Iron & Metal Co (\$1500)
3. Shadow Metals, Inc. (\$3500)
4. John Rock (\$200)
5. Chicago Indoor Sports (\$3000)
6. Marque Medicos Management Service (\$2500)
7. Active Roofing (\$23,000)
8. PLS Financial Services, Inc. (\$7,875)

The committee took immediate steps to return the excess contributions. They were:

1. The sum of the violations for each entity was totaled.
2. One check per entity was written. See attachment B for copies of checks 1,2,4-8. Check #3 can be found on attachment C.
3. Each check was hand delivered by George Cardenas to the appropriate contact person.
4. On June 28th, 2014, the FOGC Treasurer and lawyer were informed that the checks were in the process of being returned. See Attachment E.

Of the eight checks, three were cashed. They were cashed on 7/11/2014, 7/21/2014 and 8/22/2014. FOGC Treasurer did not report uncashed checks. Had he reported uncashed checks, the D-2 for Quarter 2 would have shown a negative balance. As you can see in Attachment D, the amended D-2 reports the three checks as transfers out.

All of the checks have been returned to their respective contacts. The Treasurer of FOGC will continue to report the checks when they have been cashed.

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STATE OF ILLINOIS

2329 S MacArthur Blvd
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August 16, 2014

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Cassandra B. Watson

Friends of George A. Cardenas
3757 S Campbell Ave
Chicago, IL 60652

ID# 17290

7011 1070 0003 6440 7056

Dear Committee,

This committee has accepted the following contributions in violation of the contribution limits provided in 10-11 CS 5-9-8.5 during the 7-1-11 - 7-1-13 election cycle (**different contributors - violations occurring during the same Quarterly reporting period**) as defined in Section 5-9-1.9(4):

	Contributed By	Date of Contribution	Amount of Contribution	Max Contribution Allowed	Amount in Violation of Limits
①	Howard Labkon	8-15-11	\$2,500.00		
	Howard Labkon	6-4-12	\$5,000.00	\$5,000.00	\$2,500.00
	TOTAL		\$7,500.00	\$5,000.00	\$2,500.00
②	Acme Refining Scrap Iron & Metal Co	6-4-12	\$1,500.00		
	Acme Refining Scrap Iron & Metal Co	2-7-13	\$1,500.00		
	Acme Refining Scrap Iron & Metal Co	6-3-13	\$5,000.00	\$10,000.00	\$1,500.00
	TOTAL		\$11,500.00	\$10,000.00	\$1,500.00
③	Shadow Metals Inc	1-18-12	\$3,500.00		
	Shadow Metals Inc	1-29-13	\$5,000.00		
	Shadow Metals Inc	8-7-13	\$5,000.00	\$10,000.00	\$3,500.00
	TOTAL		\$13,500.00	\$10,000.00	\$3,500.00
④	John Rock	10-28-11	\$250.00		
	John Rock	1-18-12	\$2,000.00		
	John Rock	6-4-12	\$250.00		
	John Rock	8-8-12	\$750.00		
	John Rock	8-20-13	\$2,250.00	\$5,300.00	\$200.00
	TOTAL		\$5,500.00	\$5,300.00	\$200.00

5

Contributed By	Date of Contribution	Amount of Contribution	Max Contribution Allowed	Amount in Violation of Limits
Chicago Indoor Sports	10/28/11	\$1000		
Chicago Indoor Sports	1/17/12	\$2500		
Chicago Indoor Sports	5/23/12	\$2500		
Chicago Indoor Sports	12/14/12	\$990		
Chicago Indoor Sports	12/14/12	\$990		
Chicago Indoor Sports	12/14/12	\$975	\$10000	\$955
Chicago Indoor Sports	12/14/12	\$975	\$10000	\$975
Chicago Indoor Sports	12/14/12	\$900	\$10000	\$900
Chicago Indoor Sports	12/14/12	\$170	\$10000	\$170
TOTAL		\$13000	\$10000	\$3000
GRAND TOTAL AMOUNT IN VIOLATION OF LIMITS				\$13,200

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(j) of the Election Code. This notification was mailed to your committee on May 30, 2014. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 220 S. MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$19,800.00 (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1320.00, (10% of the amount in violation of the campaign contribution limits) regardless of whether you choose to file an appeal under the Board's procedures.

In addition, this committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 7/1/11 - 12/31/15 election cycle (**same contributor - violations occurring during multiple Quarterly reporting periods**) as defined in Section 5/9-1.9(f):

6

Contributed By	Date of Contribution	Amount of Contribution	Max Contribution Allowed	Amount in Violation of Limits
Marque Medicos Management Service	1/17/12	\$5000		
Marque Medicos Management Service	5/18/12	\$5000		
Marque Medicos Management Service	8/8/12	\$1500	\$10000	\$1500
(1 st violation)	TOTAL	\$11500	\$10000	\$1500
Marque Medicos Management Service	6/28/13	\$1000	\$10000	\$1000
(2 nd violation)	TOTAL	\$1000	\$10000	\$1000

In regards to Marque Medicos Management Services contributions (listed above):

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$1500.00~~ **\$250.00**, (150% of the \$1500.00 average amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$150.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$1000.00~~ **\$100.00**, (150% of the \$1000.00 average amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$500.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

⑦

Contributed By	Date of Contribution	Amount of Contribution	Max Contribution Allowed	Amount in Violation of Limits
Active Roofing	9/30/11	\$2500		
Active Roofing	10/28/11	\$1500		
Active Roofing	1/5/12	\$5000		
Active Roofing	6/4/12	\$5000	\$10000	\$4000
(1 st violation)	TOTAL	\$14000	\$10000	\$4000
Active Roofing	8/10/12	\$4000	\$10000	\$4000
(2 nd violation)	TOTAL	\$4000	\$10000	\$4000
Active Roofing	12/26/12	\$10000	\$10000	\$10000
(3 rd violation)	TOTAL	\$10000	\$10000	\$10000
Active Roofing	12/26/13	\$5000	\$10000	\$5000
(4 th violation)	TOTAL	\$5000	\$10000	\$5000

In regards to Active Roofing contributions (listed above):

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$6000.00~~ **\$600.00**, (150% of the \$4000.00 average amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$400.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$4000.00~~ **\$400.00**, (150% of the \$4000.00 average amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$200.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

And, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$15000.00~~ (50% of the \$10000.00 overage amount). However, since this is the third violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$10000.00, (100% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Furthermore, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$7500.00~~ (150% of the \$5000.00 overage amount). However, since this is the fourth violation of the campaign contribution limits by this committee, the civil penalty will remain at \$7500.00, (150% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Contributed By	Date of Contribution	Amount of Contribution	Max Contribution Allowed	Amount in Violation of Limits
PLS Financial Services Inc	9/1/11	\$5000		
PLS Financial Services Inc	12/20/11	\$1500		
PLS Financial Services Inc	9/12/12	\$1500		
PLS Financial Services Inc	9/13/12	\$2000		
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$250	\$10000	\$250
PLS Financial Services Inc	12/19/12	\$375	\$10000	\$375
(1 st violation)	TOTAL	\$15375	\$10000	\$5375
PLS Financial Services Inc	6/28/13	\$1000	\$10000	\$1000
(2 nd violation)	TOTAL	\$1000	\$10000	\$1000
PLS Financial Services Inc	8/20/13	\$1500	\$10000	\$1500
(3 rd violation)	TOTAL	\$1500	\$10000	\$1500

In regards to PLS Financial Services Inc contributions (listed above):

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(c) of the Election Code. This notification was mailed to your committee on May 30, 2014. This same equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5-9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$806.25~~ (150% of the \$5375.00 average amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$537.50, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5-9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$1000.00~~ (150% of the \$1000.00 average amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$500.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

And, based upon this committee's failure to comply with the provision of 10 ILCS 5-9-8.5 of the Election Code, the Board may impose a fine of up to ~~\$1500.00~~ (150% of the \$1500.00 average amount). However, since this is the third violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1500.00, (100% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$116,437.50 (fine amounts plus the escheated amounts) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Order.

Enclosed please find Section 10 of ILCS 5-9-8.5 of the Act and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217.782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217.782-4141.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

FRIENDS OF GEORGE CARDENAS 06-01
2829 W. CERMAK RD.
CHICAGO, IL 60623

3335

250010 IL
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

John Rock

\$ 200 (4)

Two hundred dollars

DOLLARS

Bank of America

ACH R/T 061004805

FOR

Bob Nelson

⑈003335⑈

005201526661⑈

George A. Card

FRIENDS OF GEORGE CARDENAS 06-01
2829 W. CERMAK RD.
CHICAGO, IL 60623

3336

250010 IL
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

Howard Labkon

\$ 5000 (1)

Five thousand dollars no cents

DOLLARS

Bank of America

ACH R/T 061004805

FOR

Bob Nelson

⑈003336⑈

005201526661⑈

George A. Card

FRIENDS OF GEORGE CARDENAS 06-01
2829 W. CERMAK RD.
CHICAGO, IL 60623

3337

250010 IL
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

PLS Financial

\$ 75 (8)

Seven hundred and fifty dollars no cents

DOLLARS

Bank of America

ACH R/T 061004805

FOR

Bob Nelson

⑈003337⑈

005201526661⑈

George A. Card

FRIENDS OF GEORGE CARDENAS

06-04

3338

2829 W. CERMAK RD.
CHICAGO, IL 606232-50/110 H
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

Active Roofing

\$ 23,000 ⑦

Twenty-three thousand dollars

DOLLARS

Bank of America

ACH RT 081904806

FOR

BoE return

⑈003338⑈

00520152666⑈

J. A. Card

FRIENDS OF GEORGE CARDENAS

06-04

3332

2829 W. CERMAK RD.
CHICAGO, IL 606232-50/110 H
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

Acme Refining & Scrap Iron Metal Co.

\$ 1500 ②

One thousand five hundred dollars

DOLLARS

Bank of America

ACH RT 081904806

FOR

BoE

⑈003332⑈

00520152666⑈

J. A. Card

FRIENDS OF GEORGE CARDENAS

06-04

3333

2829 W. CERMAK RD.
CHICAGO, IL 606232-50/110 H
12201

DATE 6/28/2014

PAY
TO THE
ORDER OF

Chicago Indoor Sports LLC

\$ 3000 ⑤

Three thousand dollars

DOLLARS

Bank of America

ACH RT 081904806


FOR

BoE return

⑈003333⑈

00520152666⑈

J. A. Card

FRIENDS OF GEORGE CARDENAS 2829 W. CERMAK RD. CHICAGO, IL 60625		06-04	3334
PAY TO THE ORDER OF <u>Margie Medicos Management Service</u>		DATE <u>6/28/2014</u>	\$ <u>2500</u> (6)
<u>Three thousand five hundred dollars</u>		DOLLARS	
Bank of America			
MICR LINE: ⑆003334⑆ [REDACTED] 005201526661⑆		Signature: <u>James A. Cardenas</u>	

10/23/2014

Bank of America | Online Banking | Accounts | Account Details | Account Activity

Bank of America 

Online Banking

Checking-6661: Account Activity Transaction Details

Check number: 00000003341

Post date: 07/21/2014

Amount: -3,500.00

Type: Check

Description: Check

FRIENDS OF GEORGE CARDENAS 06-04		3341
2839 W. CERMAK RD.		
CHICAGO, IL 60623		
DATE 6/28/2014		
PAY TO THE ORDER OF	Shadow Metals Inc	\$ 3500.00 (3)
Three thousand five hundred dollars — DOLLARS		
Bank of America		
FOR DEPOSIT ONLY		
FOR	BoE	
003341		005201526551

10/23/2014

Bank of America | Online Banking | Accounts | Account Details | Account Activity

Bank of America 

Online Banking

Checking-6661: Account Activity Transaction Details


Check number: 00000003332

Post date: 07/11/2014

Amount: -1,500.00

Type: Check

Description: Check

FRIENDS OF GEORGE CARDENAS 06-04		3332
2829 W. CERMAK RD. CHICAGO, IL 60623		2829 W. CERMAK RD. CHICAGO, IL 60623
DATE 6/28/2014		
PAY TO THE ORDER OF	Acme Refining & Scrap Iron Metal Co.	\$ 1500.00 (2)
One thousand five hundred dollar DOLLARS		
Bank of America 		
ACH RPT 10/23/2014		
FOR	BE	
003332		005201526661

10/23/2014

Bank of America | Online Banking | Accounts | Account Details | Account Activity

Bank of America 

Online Banking

Checking-6661: Account Activity Transaction Details


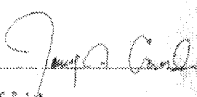
Check number: 00000003337

Post date: 08/22/2014

Amount: -7,875.00

Type: Check

Description: Check

FRIENDS OF GEORGE CARDENAS 06-04		3337
2829 W. CERMAK RD. CHICAGO, IL 60623		
DATE 6/25/2014		
PAY TO THE ORDER OF	PLS Financial	\$ 7875.00 (8)
Seven thousand eight hundred and seventy five		DOLLARS
Bank of America 		
FOR	Bea noturn	
⑈003337⑈		⑈00520152666⑈

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report Amendment

FOR OFFICE USE ONLY
IDENTIFICATION NO
17290

Friends of George A Cardenas
3757 S Campbell Ave
Chicago, IL 60632

FILED
10/23/2014 6:15:32 AM

REPORTING PERIOD
7/1/2014 thru 9/30/2014

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD

\$12,928.06

SECTION A - RECEIPTS

1. Individual Contributions:

a. Itemized (from Schedule A) \$ 77,530.00
b. Not-Itemized..... \$ 3,605.00

2. Transfers in:

a. Itemized (from Schedule A) \$ 1,500.00
b. Not-Itemized..... \$ 150.00

3. Loans Received:

a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized..... \$ 0.00

4. Other Receipts:

a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized..... \$ 35.01
TOTAL RECEIPTS (1-4)..... \$ 82,820.01

5. In-Kind Contributions:

a. Itemized (from Schedule I) \$ 0.00
b. Not-Itemized..... \$ 0.00
TOTAL IN-KIND..... \$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out:

a. Itemized (from Schedule B) 12,875.00
b. Not-Itemized..... 0.00

7. Loans made:

a. Itemized (from Schedule B) 0.00
b. Not-Itemized..... 0.00

8. Expenditures:

a. Itemized (from Schedule B) \$ 35,815.22
b. Not-Itemized..... \$ 6,761.55

9. Independent Expenditures:

a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized..... \$ 0.00

TOTAL EXPENDITURES (6-9)..... \$ 55,451.77

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)..... \$ 0.00
b. Not-Itemized..... \$ 0.00

TOTAL DEBTS AND OBLIGATIONS \$ 0.00

SECTION D - CASH BALANCE

Funds available at the beginning \$ 12,928.06
of the reporting period.....
Total Receipts (Section A)..... \$ 82,820.01
Subtotal..... \$ 95,748.07
Total Expenditures (Section B)..... \$ 55,451.77
Funds available at the close of
the reporting period..... \$ 40,296.30

Investment Total..... \$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Carlos Cardenas
SIGNATURE OF TREASURER OR CANDIDATE

10/23/2014 6:15:32AM
DATE

Filed Electronically

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.

SCHEDULE B

PART 6 - TRANSFERS OUT

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Acme Refining Scrap Iron & Metal Company 3357 S Justine Chicago, IL 60608	7/11/2014	\$1,500.00	\$1,500.00
Purpose: Return of funds - exceeded contribution limit	Beneficiary: Acme Refining Scrap Iron & Metal Company		
PLS Financial Services Inc One South Wacker Dr 36th Floor Chicago, IL 60606	8/22/2014	\$7,875.00	\$7,875.00
Purpose: Return of funds - exceeded contribution limit	Beneficiary: PLS Financial Services Inc		
Shadow Metals Inc 858 W Armitage Ave #312 Chicago, IL 60614	7/21/2014	\$3,500.00	\$3,500.00
Purpose: Return of funds - exceeded contribution limit	Beneficiary: Shadow Metals Inc		
TOTAL FOR THIS PART.			\$12,875.00

10/23/2014

Gmail - excess contributions



Anabel Abarca <ward12anabel@gmail.com>

excess contributions

Anabel Abarca <ward12anabel@gmail.com>

Sat, Jun 28, 2014 at 11:51 AM

To: "James P. Nally" <jpnlaw@att.net>

Cc: George Cardenas <george.cardenas@comcast.net>

Here are the images for the checks. Alderman will be handing them over in the next day or so.

On Thu, Jun 26, 2014 at 4:14 PM, James P. Nally <jpnlaw@att.net> wrote:

Reminder to write refund checks to donors with excess contributions and scan and e-mail copies of the checks to me so I can provide those to the State Board of Elections by June 30, 2014.

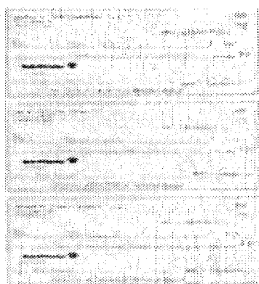
Anabel Abarca

12th Ward, Alderman George Cardenas

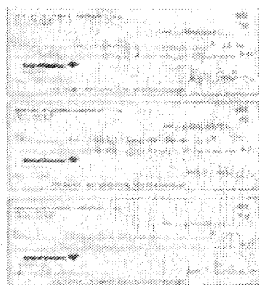
Facebook.com/AldermanGeorgeCardenas

Please send all city-related emails to anabel.abarca@cityofchicago.org.

3 attachments



BOE_Returns_1.jpg
2735K



BOE_Returns_2.jpg
2721K

10/23/2014

Gmail - excess contributions



BOE>Returns_3.jpg
1008K

State of Illinois)
County of _____)

CHICAGO

2014 SEP 24 AM 11:43

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 14 MA-CL001

Friends of George Cardenas
Respondent(s).

APPEAL AFFIDAVIT

I, George Cardenas, the _____ of the
(Name) (Chairman/Treasurer)

Friends of George Cardenas
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

mitigating circumstances

Signed and Sworn to by:

George Cardenas
before me this 24th Day of
September, 2014

Christopher Dluhy
Notary Public

[Signature]
Signature of Chairman/Treasurer

OFFICIAL SEAL
CHRISTOPHER DLUHY
Notary Public - State of Illinois
My Commission Expires Sep 22, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 26, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends of George A Cardenas
3757 S Campbell Ave
Chicago, IL 60632

ID# 17290

7011 2970 0003 6440 7056

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 7/1/11 - 2/24/15 election cycle (**different contributors - violations occurring during the same Quarterly reporting period**) as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Howard Labkon	8/15/11	\$5000		
Howard Labkon	6/4/12	\$5000	\$5000	\$5000
	TOTAL	\$10000	\$5000	\$5000
Acme Refining Scrap Iron & Metal Co	6/4/12	\$1500		
Acme Refining Scrap Iron & Metal Co	2/7/13	\$5000		
Acme Refining Scrap Iron & Metal Co	6/3/13	\$5000	\$10000	\$1500
	TOTAL	\$11500	\$10000	\$1500
Shadow Metals Inc	1/18/12	\$3500		
Shadow Metals Inc	1/26/13	\$5000		
Shadow Metals Inc	6/3/13	\$5000	\$10000	\$3500
	TOTAL	\$135000	\$10000	\$3500
John Rock	10/28/11	\$250		
John Rock	1/18/12	\$2000		
John Rock	6/4/12	\$250		
John Rock	8/8/12	\$750		
John Rock	8/20/13	\$2250	\$5300	\$200
	TOTAL	\$5500	\$5300	\$200

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Chicago Indoor Sports	10/28/11	\$3000		
Chicago Indoor Sports	1/17/12	\$2500		
Chicago Indoor Sports	5/23/12	\$2500		
Chicago Indoor Sports	12/14/12	\$990		
Chicago Indoor Sports	12/14/12	\$990		
Chicago Indoor Sports	12/14/12	\$975	\$10000	\$955
Chicago Indoor Sports	12/14/12	\$975	\$10000	\$975
Chicago Indoor Sports	12/14/12	\$900	\$10000	\$900
Chicago Indoor Sports	12/14/12	\$170	\$10000	\$170
	TOTAL	\$13000	\$10000	\$3000
GRAND TOTAL AMOUNT IN VIOLATION OF LIMITS				\$13,200

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(j) of the Election Code. This notification was mailed to your committee on May 30, 2014. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$19,800.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1320.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

In addition, this committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 7/1/11 - 2/24/15 election cycle (**same contributor - violations occurring during multiple Quarterly reporting periods**) as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Marque Medicos Management Service	1/17/12	\$5000		
Marque Medicos Management Service	5/18/12	\$5000		
Marque Medicos Management Service	8/8/12	\$1500	\$10000	\$1500
(1 st violation)	TOTAL	\$11500	\$10000	\$1500
Marque Medicos Management Service	6/28/13	\$1000	\$10000	\$1000
(2 nd violation)	TOTAL	\$1000	\$10000	\$1000

In regards to Marque Medicos Management Service contributions (listed above):

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$2250.00, (150% of the \$1500.00 overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$150.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$1500.00, (150% of the \$1000.00 overage amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$500.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Active Roofing	9/30/11	\$2500		
Active Roofing	10/28/11	\$1500		
Active Roofing	1/5/12	\$5000		
Active Roofing	6/4/12	\$5000	\$10000	\$4000
(1 st violation)	TOTAL	\$14000	\$10000	\$4000
Active Roofing	8/10/12	\$4000	\$10000	\$4000
(2 nd violation)	TOTAL	\$4000	\$10000	\$4000
Active Roofing	12/26/13	\$10000	\$10000	\$10000
(3 rd violation)	TOTAL	\$10000	\$10000	\$10000
Active Roofing	12/20/13	\$5000	\$10000	\$5000
(4 th violation)	TOTAL	\$5000	\$10000	\$5000

In regards to Active Roofing contributions (listed above):

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$6000.00, (150% of the \$4000.00 overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$400.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$6000.00, (150% of the \$4000.00 overage amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$2000.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

And, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$15000.00, (150% of the \$10000.00 overage amount). However, since this is the third violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$10000.00, (100% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Furthermore, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$7500.00, (150% of the \$5000.00 overage amount). However, since this is the fourth violation of the campaign contribution limits by this committee, the civil penalty will remain at \$7500.00, (150% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
PLS Financial Services Inc	9/1/11	\$5000		
PLS Financial Services Inc	12/20/11	\$1500		
PLS Financial Services Inc	9/12/12	\$1500		
PLS Financial Services Inc	9/13/12	\$2000		
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$950	\$10000	\$950
PLS Financial Services Inc	11/27/12	\$250	\$10000	\$250
PLS Financial Services Inc	12/19/12	\$375	\$10000	\$375
(1 st violation)	TOTAL	\$15375	\$10000	\$5375
PLS Financial Services Inc	6/28/13	\$1000	\$10000	\$1000
(2 nd violation)	TOTAL	\$1000	\$10000	\$1000
PLS Financial Services Inc	8/20/13	\$1500	\$10000	\$1500
(3 rd violation)	TOTAL	\$1500	\$10000	\$1500

In regards to PLS Financial Services Inc contributions (listed above):

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(j) of the Election Code. This notification was mailed to your committee on May 30, 2014. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$8062.50, (150% of the \$5375.00 overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$537.50, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

Also, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$1500.00, (150% of the \$1000.00 overage amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$500.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

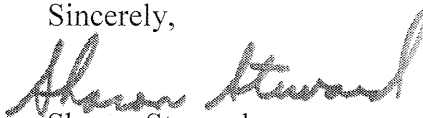
And, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$2250.00, (150% of the \$1500.00 overage amount). However, since this is the third violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1500.00, (100% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$116,437.50 (fine amounts plus the escheated amounts) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Order

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 13 CD 178
)
Jackson for Committeeman Ind.)
Democratic Political Org.,)
 Respondent(s).)

FINAL ORDER

TO: Jackson for Committeeman Ind. Democratic Political Org. ID# 22751
 PO Box 490286
 Chicago, IL 60649

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2012, March 2013 and the June 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 022
)
Citizens for Leys,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Leys ID# 15682
 310 Busse Hwy, Ste 323
 Park Ridge, IL 60068

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 024
)
Citizens for Colvin,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Colvin ID# 16242
 8539 S. Cottage Grove
 Chicago, IL 60619

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March, June, September and December 2012 and the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 032
)
votedebby.com,)
 Respondent(s).)

FINAL ORDER

TO: votedebby.com ID# 19006
840 Rosedale Ln
Hoffman Estates, IL 60169

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

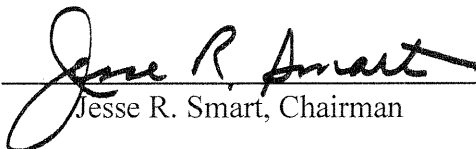
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The report at issue remains unfiled.

IT IS ORDERED:

1. That the Respondent file the required report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 033
)
Citizens to Elect Alvin Parks Jr,)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Alvin Parks Jr ID# 19743
 8922 Woestboul St
 E St Louis, IL 62203

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
)
COUNTY OF SANGAMON) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 14 CD 042
)
Re-Elect Eric Leys,)
)
 Respondent(s).)

ORDER

TO: Re-Elect Eric Leys ID# 22022
 310 Busse Hwy, #323
 Park Ridge, IL 60068

This matter coming to be heard this 19th day of May, 2014 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the September 2013 and December 2013 Quarterly Reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
- 3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter, and
- 3. The effective date of this Order is May 21, 2014.

DATED: 5/21/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 046
)
Jackson for Committeeman Ind.)
Democratic Political Org.,)
 Respondent(s).)

FINAL ORDER

TO: Jackson for Committeeman Ind. Democratic Political Org. ID# 22751
PO Box 490286
Chicago, IL 60649

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

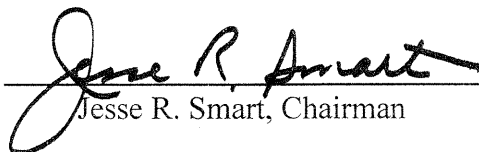
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 047
)
Illinois Black Business PAC,)
 Respondent(s).)

FINAL ORDER

TO: Illinois Black Business PAC ID# 22756
 705 Lyman Ave
 Oak Park, IL 60304

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2012 and the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 051
)
Friends for Jeremy J Ly,)
 Respondent(s).)

FINAL ORDER

TO: Friends for Jeremy J Ly ID# 23466
3048 S Loomis
Chicago, IL 60608

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2012 and the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
Complainant(s),)
Vs.) 14 CD 059
)
Tammy Baer for Douglas County)
State's Attorney,)
Respondent(s).)

FINAL ORDER

TO: Tammy Baer for Douglas County State's Attorney ID# 24201
PO Box 236
Tuscola, IL 61953

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

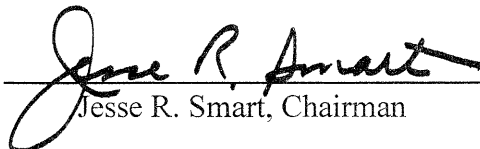
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 064
)
Citizens for Fairness - Jeff Davis,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Fairness - Jeff Davis ID# 24378
 6625 W. 26th Street
 Berwyn, IL 60402

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2012 and the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 068
)
Citizens for Rudd,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Rudd ID# 24465
 1768 N. Jarvis Ct
 Palatine, IL 60074

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

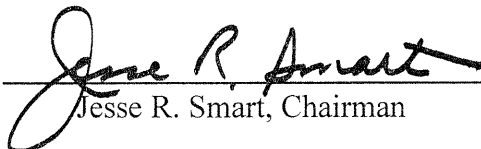
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 072
)
Citizens to Elect Anthony Coleman)
for Mayor of North Chicago,)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Anthony Coleman for Mayor of North Chicago ID# 24540
 1439 Elizabeth Ave
 North Chicago, IL 60064

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2012 and the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

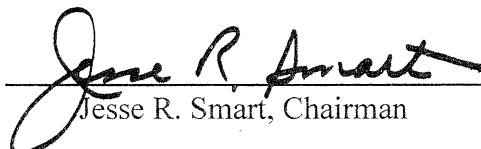
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 095
)
Friends for Mary C. Childers,)
 Respondent(s).)

FINAL ORDER

TO: Friends for Mary C. Childers ID# 25317
835 Pearson Street, #207
Des Plains, IL 60016

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 099
)
Citizens for a Better Fox Valley,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for a Better Fox Valley ID# 25329
 136 Calkins Drive
 Sugar Grove, IL 60554

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 100
)
Will Kyles Committee,)
 Respondent(s).)

FINAL ORDER

TO: Will Kyles Committee ID# 25362
1735 W. Kirby Ave
Champaign, IL 61821

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

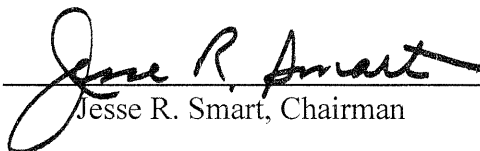
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The report at issue remains unfiled.

IT IS ORDERED:

1. That the Respondent file the required report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 105
)
Fans of Harness Racing,)
 Respondent(s).)

FINAL ORDER

TO: Fans of Harness Racing ID# 25588
1701 Lake Ave, Ste 335
Glenview, IL 60025

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

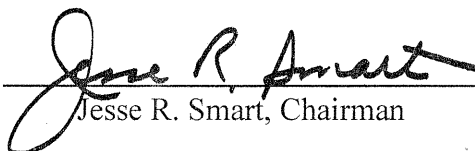
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The report at issue remains unfiled.

IT IS ORDERED:

1. That the Respondent file the required report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 075
)
Braiman for Judge,)
 Respondent(s).)

FINAL ORDER

TO: Braiman for Judge ID# 24684
26 West Canterbury
Buffalo Grove, IL 60089

This matter coming to be heard this 22nd day of August, 2014 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March, June, September and December 2013 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

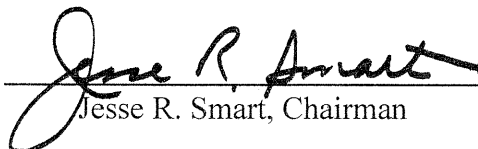
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is August 26, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/26/2014



Jesse R. Smart, Chairman

MEMORANDUM

FROM: Tom Newman, Deputy Director, Division of Campaign Disclosure

TO: Members of the Board
Rupert T. Borgsmiller, Executive Director,
Steve Sandvoss, General Counsel

RE: Settlement Offers

Date: October 10, 2014

Per Member McGuffage's request at the September 2014 Board meeting, presented below for consideration and discussion is a set of proposed guidelines regarding settlement offers from political committees who have been assessed civil penalties by the Board:

Board Settlement Offer Guidelines:

Settlement offers should be limited to those committees for whom the fine represents an undue hardship or who otherwise lack the funds or the ability to raise funds to pay the fine. (Judicial candidates, for example, are unable to accept contributions earlier than 1 year before their election and later than 90 days after the election.) The committee should be able to explain or demonstrate why they are unable to raise enough funds to pay the total fine.

Settlement offers should, in most cases, not be accepted if the offered amount is less than 50% of the unpaid fine total; nor if the total penalty owed is \$1,000 or less.

Generally, a settlement offer should only be considered if the ratio of the fine to the committee's fund balance on each of its 4 most recent quarterly reports is greater than 50%; contributions on schedule A-1 reports filed since the last quarterly report may also be considered in this calculation.

Consideration may also be given to special circumstances, such as if a committee is fined for a late A-1 comprised of in-kind contributions, because these contributions do not represent an influx of actual cash to the committee.

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: November 5, 2014

The following committees have made payment of outstanding civil penalties for the period 10/09/2014 - 11/05/2014

- Illinois Bankers Assn PAC - \$612.00
- Citizens to Elect Mike Kelley - \$200.00
- Citizens for Eli Nicolosi - \$400.00
- Citizens to Elect Michael "Mike" Stuttley - \$450.00
- Monroe County Young Democrats - \$21.05
- Friends of Barrett F. Pedersen - \$2175.00
- Tony Blumberg for Highland Park City Council - \$112.50
- Friends of Joe Vosicky - \$150.00
- Committee to Elect Philip J Beu - \$600.00
- Team Township: Homer Twp Candidates for Supervisor, Clerk, Linsey Sowa - \$75.00
- Citizens to Elect Norman H Bolden - \$2012.50

TOTAL : \$6808.05

Year to Date Totals:

12/27/13 to 2/06/14: \$80,041.70
2/07/14 to 3/05/14: \$13,951.33
3/06/14 to 4/08/14: \$19,282.40
4/09/14 to 5/06/14: \$15,770.37
5/07/14 to 6/4/14: \$10,693.50
6/05/14 to 7/9/14: \$8098.00
7/10/14 to 8/12/14: \$24711.00
8/13/14 to 9/3/14: \$15,938.30
9/04/14 to 10/8/14: \$28,728.00
10/9/2014 to 11/5/14: \$6808.05

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: Pre-Election Tabulation Testing

Date: November 5, 2014

Pre-Election tabulation system testing was conducted at the below jurisdictions on the dates indicated:

Randolph County- September 30th
Jackson County-October 2nd
Champaign County- October 6th & 7th
Effingham County- October 8th
Ford County- October 9th
Saline County- October 15th
Monroe County- October 16th
Marshall County- October 17th
Scott County- October 21st
Franklin County- October 22nd

The testing as a whole went very well and I was very pleased with the hard work and dedication shown by staff, which enabled so many jurisdictions to have testing completed. In Effingham, Ford, Monroe, and Scott no equipment or program issues were encountered.

In Randolph County, one of their AccuVotes indicated a miscount. This machine was recommended for replacement and service.

In Jackson County, three of their AutoMark marking devices encountered issues which were rectified after being rebooted. Two of their AccuVotes encountered issue, one printer and one a slow ballot return. Both were recommended for servicing.

In Champaign County, four of their M100 memory cards had to be replaced due to a pack error but results from the M100's were accurate. For the nine DS200's which were utilized in the Early/Absentee portion of their test, three machines missed the counting of some marks, resulting in dropped votes. These three machines were removed from use and recommended for servicing. On October 20th, staff and I traveled back to Champaign County to meet up with an ES&S technician in order to determine the issue with the DS200's. No determination could be made so the three machines were recommended for replacement. Staff later traveled to Champaign County to test the replacement machines,

which encountered similar counting errors as the originals and as the originals, it was recommended they not be used. Further monitoring will be conducted.

In Saline County, the only issue encountered was jamming of an M100. It was recommended this machine be switched out. All machines counted accurately.

In Marshall County, we encountered improperly sized ballots which had to be reprinted and remarked. Upon completion of the running of these ballots, a memory card failed and seven precincts had to be rerun, after replacement of the card. All machines counted accurately.

In Franklin County, the only issues were with two machines not accepting ballots upon first boot-up. After rebooting both machines, they both accepted ballots as expected. All machines counted accurately.



From the desk of.... Eric Donnewald
Director of Election Training/Resource Development
Phone: 217-782-1573
Email: edonnewald@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Re: Election Judge School statistics for GE 2014
Date: November 3, 2014

ELECTION JUDGE SCHOOLS FOR GE 2014

ETRD conducted election judge training classes for the 2014 General Election through October 30th. Below are statistics from the judges schools conducted for the election:

- Jurisdictions receiving SBE schools: 57 out of 110: 52%
- Total number of schools: 149
- Total Attendance: 7,320
- Average attendance per school: 49.12
- Schools for Zone One: 66
- Schools for Zone Two: 27
- Schools for Zone Three: 27
- Schools for Zone Four: 29

Please feel free to contact me if you have any questions.

Judges Schools GE 2014

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, August 19, 2014	Clay	2	110	One
Tuesday, August 26, 2014	McLean	2	29	Three
Wednesday, August 27, 2014	McLean	2	29	Three
Thursday, August 28, 2014	Woodford	2	206	Three
Tuesday, September 09, 2014	Wabash	2	78	One
Wednesday, September 10, 2014	Aurora	3	127	Four
Wednesday, September 10, 2014	Coles	3	188	Three
Wednesday, September 10, 2014	Richland	2	88	One
Thursday, September 11, 2014	Aurora	3	108	Four
Thursday, September 11, 2014	Lawrence	2	100	One
Friday, September 12, 2014	Aurora	3	61	Four
Monday, September 15, 2014	Boone	3	155	Four
Wednesday, September 17, 2014	Montgomery	4	133	Two
Monday, September 22, 2014	Logan	1	82	Three
Tuesday, September 23, 2014	Franklin	2	107	One
Wednesday, September 24, 2014	Franklin	1	47	One
Wednesday, September 24, 2014	Hardin	1	36	One
Wednesday, September 24, 2014	Pope	1	32	One
Thursday, September 25, 2014	Calhoun	2	37	Two
Thursday, September 25, 2014	Edwards	2	62	One
Saturday, September 27, 2014	St Clair	2	151	One
Monday, September 29, 2014	Christian	2	167	Three
Monday, September 29, 2014	Dekalb	3	155	Four
Monday, September 29, 2014	JoDaviess	2	114	Four
Tuesday, September 30, 2014	Dekalb	3	156	Four
Tuesday, September 30, 2014	Stephenson	2	168	Four

Date	Jurisdiction	No. of Schools	Attendance	Zone
Wednesday, October 01, 2014	Logan	1	30	Three
Wednesday, October 01, 2014	Pulaski	1	34	One
Wednesday, October 01, 2014	St Clair	2	204	One
Thursday, October 02, 2014	Logan	1	29	Three
Thursday, October 02, 2014	Saline	3	141	One
Thursday, October 02, 2014	St Clair	3	290	One
Saturday, October 04, 2014	St Clair	2	123	One
Monday, October 06, 2014	Monroe	3	123	One
Tuesday, October 07, 2014	Monroe	3	97	One
Wednesday, October 08, 2014	Clinton	2	139	One
Wednesday, October 08, 2014	Galesburg	3	136	Three
Wednesday, October 08, 2014	Jersey	2	87	Two
Thursday, October 09, 2014	Henderson	2	71	Two
Thursday, October 09, 2014	Washington	2	113	One
Friday, October 10, 2014	Alexander	1	24	One
Monday, October 13, 2014	Jefferson	2	159	One
Tuesday, October 14, 2014	Gallatin	1	31	One
Tuesday, October 14, 2014	Morgan	3	115	Two
Tuesday, October 14, 2014	Wayne	2	84	One
Wednesday, October 15, 2014	Jasper	2	55	One
Wednesday, October 15, 2014	Morgan	1	39	Two
Wednesday, October 15, 2014	Scott	1	34	Two
Thursday, October 16, 2014	Aurora	3	36	Four
Thursday, October 16, 2014	Cass	2	87	Two
Thursday, October 16, 2014	Fayette	2	145	One
Friday, October 17, 2014	Aurora	2	34	Four
Monday, October 20, 2014	Fulton	2	128	Two
Monday, October 20, 2014	Marion	1	78	One
Monday, October 20, 2014	Randolph	2	201	One

Date	Jurisdiction	No. of Schools	Attendance Zone	
Tuesday, October 21, 2014	Marion	2	98	One
Tuesday, October 21, 2014	Menard	2	59	Two
Tuesday, October 21, 2014	Shelby	3	171	Three
Wednesday, October 22, 2014	Brown	2	60	Two
Wednesday, October 22, 2014	Effingham	3	186	One
Wednesday, October 22, 2014	Hamilton	2	103	One
Thursday, October 23, 2014	Clark	2	91	Three
Thursday, October 23, 2014	Cumberland	2	76	Three
Thursday, October 23, 2014	Pike	2	120	Two
Saturday, October 25, 2014	E St Louis	2	86	One
Monday, October 27, 2014	Massac	2	55	One
Tuesday, October 28, 2014	Greene	2	91	Two
Tuesday, October 28, 2014	Putnam	2	37	Four
Tuesday, October 28, 2014	Williamson	2	281	One
Wednesday, October 29, 2014	Dewitt	1	71	Three
Wednesday, October 29, 2014	Union	2	93	One
Thursday, October 30, 2014	Marshall	2	49	Three

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

MEMORANDUM

To: Chairman Smart, Vice Chairman Scholz
Members of the Board
Rupert Borgsmiller, Executive Director

From: Steve Sandvoss, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: October 30, 2014

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of April 18, 2014 thru September 15, 2014, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,

Steven S. Sandvoss, General Counsel

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,662,400	\$1,345,153.35	\$0.00	\$3,317,246.65	28.85%
STATE PAID RETIREMENT	\$186,500	\$52,716.74	\$0.00	\$133,783.26	28.27%
RETIREMENT (inc. supplemental)	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$356,500	\$98,888.38	\$0.00	\$257,611.62	27.74%
CONTRACTUAL SERVICE	\$1,356,000	\$289,456.53	\$324,099.65	\$742,443.82	21.35%
TRAVEL	\$127,700	\$19,077.13	\$0.00	\$108,622.87	14.94%
PRINTING	\$28,200	\$921.10	\$0.00	\$27,278.90	3.27%
COMMODITIES	\$40,400	\$6,502.97	\$0.00	\$33,897.03	16.10%
EQUIPMENT	\$169,400	\$61,177.77	\$0.00	\$108,222.23	36.11%
TELECOMMUNICATIONS	\$144,900	\$19,417.27	\$0.00	\$125,482.73	13.40%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$677.96	\$0.00	\$4,622.04	12.79%
ELECTION CODE BOOKS	\$17,500	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$133,175.11	\$0.00	\$444,024.89	23.07%
INTEREST PAYMENTS	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,672,000	\$2,027,164.31	\$324,099.65	\$5,320,736.04	26.42%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$80,081.26	\$251,839.22	\$218,079.52	14.56%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$0.00	\$0.00	\$5,043,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$0.00	\$0.00	\$3,378,000.00	0.00%
REDISTRICTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$9,770,500.00	\$80,081.26	\$251,839.22	\$9,438,579.52	0.82%
TOTAL APPROPRIATION	\$17,442,500	\$2,107,245.57	\$575,938.87	\$14,759,315.56	12.08%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$199.47	\$2,800.53	6.65%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$2,413.50	\$15,586.50	13.41%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL	\$21,000.00	\$3,541.47	\$17,458.53	16.86%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$2,612.97		\$24,587.03
TRAVEL	\$21,000.00	\$3,541.47		\$17,458.53
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$48,900.00	\$6,154.44	\$0.00	\$42,745.56

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION	FY15	YEAR TO DATE	% OF	
MONTH ENDING: Oct. 31, 2014	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$231,379.00	\$631,721.00	26.81%
1129 State Paid Retirement	\$34,400.00	\$9,272.88	\$25,127.12	26.96%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$65,700.00	\$16,971.02	\$48,728.98	25.83%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$1,140.33	\$13,359.67	7.86%
1223 Repair/Maint. Real Property	\$2,000.00	\$506.00	\$1,494.00	25.30%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$777.35	\$32,022.65	2.37%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$399,800.00	\$165,825.00	\$233,975.00	41.48%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$22,500.00	\$3,702.63	\$18,797.37	16.46%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00	\$26,855.41	\$49,844.59	35.01%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$1,043.00	\$1,957.00	34.77%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00		\$2,200.00	0.00%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$3,609.02	\$7,890.98	31.38%
TRAVEL				
1291 In-State	\$10,400.00	\$2,039.41	\$8,360.59	19.61%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$700.00	\$683.20	\$16.80	97.60%
PRINTING	\$9,900.00		\$9,900.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$14,900.00	\$2,649.63	\$12,250.37	17.78%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$68.67	\$231.33	22.89%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1397 Household Cleaning Equip. NEC \$100				
1398 Equipment, NEC	\$500.00	\$181.47	\$318.53	36.29%
1399 Commodities, NEC	\$700.00	\$35.92	\$664.08	5.13%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$259.99	\$4,740.01	5.20%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$11,268.43	\$40,931.57	21.59%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$6,496.14	\$74,503.86	8.02%
1728 Videoconferencing	\$7,200.00	\$1,652.70	\$5,547.30	22.95%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$2,100.00	\$37.99	\$2,062.01	1.81%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$639.97	\$2,360.03	21.33%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$231,379.00		26.81%
STATE PAID RETIREMENT	\$34,400.00	\$9,272.88		26.96%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		0.00%
SOCIAL SECURITY	\$65,700.00	\$16,971.02		25.83%
CONTRACTUAL SERVICE	\$573,900.00	\$205,258.74	\$233,842.50	35.77%
TRAVEL	\$12,600.00	\$2,722.61		21.61%
PRINTING	\$9,900.00	\$0.00		0.00%
COMMODITIES	\$16,400.00	\$2,935.69		17.90%
EQUIPMENT	\$6,000.00	\$259.99		4.33%
TELECOMMUNICATIONS	\$144,900.00	\$19,417.27		13.40%
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$677.96		12.79%
INTEREST PAYMENTS	\$0.00	\$0.00		0.00%
TOTAL	\$1,732,200.00	\$488,895.16	\$233,842.50	\$1,009,462.34 28.22%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,808,200.00	\$515,966.07	\$1,292,233.93	28.53%
1129 State Paid Retirement	\$72,400.00	\$20,602.58	\$51,797.42	28.46%
1161 Retirement			\$0.00	0.00%
1161-008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$138,400.00	\$37,819.75	\$100,580.25	27.33%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,700.00		\$2,700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$10,000.00		\$10,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00		\$4,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00		\$4,200.00	0.00%
1277 Association Dues	\$1,400.00	\$115.00	\$1,285.00	8.21%
1279 Employee Tuition & Fees	\$1,300.00		\$1,300.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$600.00		\$600.00	0.00%
TRAVEL	\$61,900.00	\$8,521.39	\$53,378.61	13.77%
PRINTING	\$7,000.00	\$43.10	\$6,956.90	0.62%
EQUIPMENT				
1510 Office Furniture & Equipment	\$1,900.00	\$259.99	\$1,640.01	13.68%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$80,081.26	\$469,918.74	14.56%
ELECTION CODE BOOKS	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$5,043,000.00		\$5,043,000.00	0.00%
Stipends (Fund 802)	\$799,500.00		\$799,500.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation				
Electronic Canvassing Interest Payments				
IDIS Replacement System				

ELECTIONS GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,808,200.00	\$515,966.07		\$1,292,233.93	28.53%
STATE PAID RETIREMENT	\$72,400.00	\$20,602.58		\$51,797.42	28.46%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$138,400.00	\$37,819.75		\$100,580.25	27.33%
CONTRACTUAL SERVICE	\$26,900.00	\$264.95		\$26,635.05	0.98%
TRAVEL	\$61,900.00	\$8,521.39		\$53,378.61	13.77%
PRINTING	\$7,000.00	\$43.10		\$6,956.90	0.62%
EQUIPMENT	\$1,900.00	\$259.99		\$1,640.01	13.68%
HAVA - Maintenance of Effort	\$550,000.00	\$80,081.26	\$251,839.22	\$218,079.52	14.56%
ELECTION CODE BOOKS	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements (FUND 802)	\$5,043,000.00	\$0.00		\$5,043,000.00	0.00%
Stipends (FUND 802)	\$799,500.00	\$0.00		\$799,500.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$8,526,700.00	\$663,559.09	\$251,839.22	\$7,611,301.69	7.78%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$109,272.38	\$235,427.62	31.70%
1129 State Paid Retirement	\$13,800.00	\$4,374.67	\$9,425.33	31.70%
1161 Retirement			\$0.00	0.00%
1170 Social Security	\$26,400.00	\$8,063.31	\$18,336.69	30.54%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$75,000.00	\$24,237.50	\$50,762.50	32.32%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$4,536.00	\$10,464.00	30.24%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$172.22	\$827.78	17.22%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00		\$1,000.00	0.00%
1277 Association Dues	\$1,500.00		\$1,500.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services				
1284 Computer Software				
1289 Contractual Services, NEC	\$6,500.00	\$1,634.25	\$4,865.75	25.14%
TRAVEL	\$10,000.00	\$2,837.27	\$7,162.73	28.37%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

GENERAL COUNSEL GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$109,272.38		\$235,427.62	31.70%
STATE PAID RETIREMENT	\$13,800.00	\$4,374.67		\$9,425.33	31.70%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$26,400.00	\$8,063.31		\$18,336.69	30.54%
CONTRACTUAL SERVICE	\$101,000.00	\$30,579.97	40,762.50	\$29,657.53	30.28%
TRAVEL	\$10,000.00	\$2,837.27		\$7,162.73	28.37%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$496,400.00	\$155,127.60	\$40,762.50	\$300,509.90	31.25%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$228,834.94	\$613,865.06	27.15%
1129 State Paid Retirement	\$33,700.00	\$9,153.07	\$24,546.93	27.16%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$64,500.00	\$16,696.94	\$47,803.06	25.89%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services	\$300.00	\$292.50	\$7.50	97.50%
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00		\$1,100.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$200.00	\$120.00	\$80.00	60.00%
TRAVEL				
1291 In-State	\$7,400.00		\$7,400.00	0.00%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage	\$100.00	\$31.47	\$68.53	31.47%
PRINTING	\$10,600.00	\$878.00	\$9,722.00	8.28%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$228,834.94		\$613,865.06	27.15%
STATE PAID RETIREMENT	\$33,700.00	\$9,153.07		\$24,546.93	27.16%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$64,500.00	\$16,696.94		\$47,803.06	25.89%
CONTRACTUAL SERVICE	\$2,300.00	\$412.50		\$1,887.50	17.93%
TRAVEL	\$11,300.00	\$31.47		\$11,268.53	0.28%
PRINTING	\$10,600.00	\$878.00		\$9,722.00	8.28%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$965,600.00	\$256,006.92	\$0.00	\$709,593.08	26.51%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

<u>INFORMATION TECHNOLOGY</u> <u>MONTH ENDING: Oct. 31, 2014</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$803,700.00	\$259,700.96	\$543,999.04	32.31%
1129 State Paid Retirement	\$32,200.00	\$9,313.54	\$22,886.46	28.92%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$61,500.00	\$19,337.36	\$42,162.64	31.44%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00		\$12,000.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$20,743.13	\$99,656.87	17.23%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,800.00	\$565.40	\$1,234.60	31.41%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$323,400.00		\$323,400.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$708.00	\$292.00	70.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$150,500.00	\$28,310.87	\$122,189.13	18.81%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL				
1291 In-State	\$5,200.00	\$1,213.48	\$3,986.52	23.34%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
1295 Mileage	\$300.00	\$209.44	\$90.56	69.81%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$22,800.00	\$2,433.23	\$20,366.77	10.67%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$800.00	\$791.00	\$9.00	98.88%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$400.00	\$343.05	\$56.95	85.76%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment				
1515 EDP Equipment	\$159,800.00	\$60,657.79	\$99,142.21	37.96%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>INFORMATION TECHNOLOGY GRAND TOTAL</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$803,700.00	\$259,700.96		\$543,999.04	32.31%
STATE PAID RETIREMENT	\$32,200.00	\$9,313.54		\$22,886.46	28.92%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,500.00	\$19,337.36		\$42,162.64	31.44%
CONTRACTUAL SERVICE	\$624,700.00	\$50,327.40	\$49,494.65	\$524,877.95	8.06%
TRAVEL	\$10,900.00	\$1,422.92		\$9,477.08	13.05%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$24,000.00	\$3,567.28		\$20,432.72	14.86%
EQUIPMENT	\$159,800.00	\$60,657.79		\$99,142.21	37.96%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,717,500.00	\$404,327.25	\$49,494.65	\$1,263,678.10	23.54%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

<u>IVRS LUMP SUM</u>	<u>YEAR TO DATE</u>
<u>MONTH ENDING: Oct. 31, 2014</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$119,075.97
1129 State Paid Retirement	\$4,765.56
1161 Retirement	
1170 Social Security	\$8,626.60
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$706.98
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

LUMP SUM - OPERATIONS APPROP FOR YEAR	\$577,200.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$133,175.11
REMAINING LUMP SUM APPROPRIATION	\$444,024.89

LUMP SUM - GRANTS APPROP FOR YEAR	\$3,378,000.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$3,378,000.00

LUMP SUM TOTAL APPROPRIATION	\$3,955,200.00
TOTAL LUMP SUM EXPENDITURES	\$133,175.11
REMAINING LUMP SUM APPROPRIATION	\$3,822,024.89

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
MONTH ENDING: Oct. 31, 2014						
CASH BALANCE FROM FY14	\$553,557.44	\$0.00	\$0.00	\$3,555,326.11	\$3,559,543.77	\$7,668,427.32
Program Revenues from Federal Govt			\$175,003.68			\$175,003.68
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$537.52			\$3,914.48	\$3,657.00	\$8,109.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$537.52	\$0.00	\$175,003.68	\$3,914.48	\$3,657.00	\$183,112.68
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$2,766.00	\$0.00	\$0.00	\$4,998.13	\$0.00	\$7,764.13
TRAVEL	\$8,162.13	\$0.00	\$0.00	\$0.00	\$0.00	\$8,162.13
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$166.27	\$0.00	\$0.00	\$0.00	\$0.00	\$166.27
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$11,094.40	\$0.00	\$0.00	\$4,998.13	\$0.00	\$16,092.53
AWARDS & GRANTS	\$0.00	\$0.00	\$234,832.89	\$0.00	\$690,887.64	\$925,720.53
TOTAL CASH EXPENDITURES	\$11,094.40	\$0.00	\$234,832.89	\$4,998.13	\$690,887.64	\$941,813.06
CASH BALANCE AT END OF MONTH	\$543,000.56	\$0.00	(\$59,829.21)	\$3,554,242.46	\$2,872,313.13	\$6,909,726.94

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: Oct. 31, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC	\$0.00		
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$2,766.00	\$2,766.00	
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$0.00		
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)	\$1,599.04	\$1,599.04	
1292 Out-of-State	\$5,122.21	\$5,122.21	
1295 Mileage	\$1,440.88	\$1,440.88	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$166.27	\$166.27	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$0.00		
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAL)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$2,766.00	\$2,766.00	
TRAVEL	\$8,162.13	\$8,162.13	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$166.27	\$166.27	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$11,094.40	\$11,094.40	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Oct. 31, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

<u>SECTION 102 GRAND TOTAL</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Oct. 31, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund \$0.00

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)

\$234,832.89

\$234,832.89

SECTION 261 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$234,832.89	\$234,832.89

TOTAL	\$234,832.89	\$234,832.89	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Oct. 31, 2014

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,900.70		\$2,900.70
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$2,097.43		\$2,097.43
1289 Contractual Services, NEC			

TRAVEL

1291 In-State	\$0.00		
1292 Out-of-State			

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund	\$0.00		
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GRANTS

4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)	\$0.00		
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			

SECTION 251 (OLD REQ) GRAND TOTAL

	FY15	YEAR TO DATE	OBLIGATED
	APPROPRIATION	EXPENDITURE	MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$4,998.13	\$4,998.13	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	

TOTAL	\$4,998.13	\$4,998.13	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Oct. 31, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

\$690,887.64

\$690,887.64

SECTION 251 (NEW REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$690,887.64

\$690,887.64

TOTAL

\$690,887.64

\$690,887.64

\$0.00

Start Date	End Date	Activity	Division
10/24/2014		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VRS
10/28/2014		Last day for election authority to notify public of time, date, and place of public test for General Election. 10 ILCS 5/24B-9	VRS
10/28/2014		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VRS
10/29/2014		Last day for SBE to send list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act to the proper election authorities. This list is for the February 24, 2015 Consolidated Primary Election, and for the April 7th, 2015 Consolidated Election (not less than 120 days before each regular election). 10 ILCS 19-12.2	ET&RD
10/30/2014		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
10/31/2014		Date upon which the State Board of Election shall notify political committees that have failed to file the 2014 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/1 /2014		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
11/1 /2014		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
11/3 /2014		Begin preparation of packet materials for 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONIS AND EXPENDITURES. Packets to be mailed or e-mailed November 28, 2014. 10 ILCS 5/9-15	CAMP DISC

Start Date	End Date	Activity	Division
11/3 /2014		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
11/3 /2014		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
11/3 /2014		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Election.	VRS
11/4 /2014		GENERAL ELECTION	EL INFO
11/5 /2014		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VRS
11/5 /2014		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VRS
11/11/2014		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Election. (Rules and Regulations)	VRS
11/17/2014	11/24/2014	Candidate filing period. (For the Consolidated Primary Election in 2015.)	EL INFO
11/17/2014		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/17/2014		Send to each election authority a current computerized voter registration error report, from the General Election submission	VRS
11/17/2014		Send notice to election authorities who failed to submit a computerized voter registration file, for the General Election 2014 submission. Rules and Regulations	VRS
11/18/2014	11/20/2014	(dates subject to change) Veto Session	LEG

Start Date	End Date	Activity	Division
11/18/2014		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight Nov 4, 2014. 10 ILCS 5/19-8(c) & 20-8(c)	LEG
11/19/2014		Begin canvass as soon as abstracts are received from county clerks. (Complete by December 5, 2014) 10 ILCS 5/22-1	EL INFO
11/25/2014		Link report due form LEO's to the SBE. 10 ILCS 5/19-20, 20-20.	LEG
11/25/2014		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS
11/26/2014		Civic Organization certification cutoff date prior to the Feb 24, 2015 Consolidated Primary(not less than 90 days before next election). 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50)	ET&RD
11/28/2014		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
12/1 /2014		Send letters to county clerks to obtain information required for the 2015 COUNTY OFFICERS publication. Not all the information may be available in time to meet our schedule. Our goal is to have the publication complete by 2/17/2015. 10 ILCS 5/1A-8 (1, 2)	ET&RD
12/1 /2014		Begin preparing 2015 FEDERAL AND STATE OFFICERS booklet. General Assembly member room assignments and telephone number information may be not be available in time to meet our schedule. Our goal is to have the publication available no later than 3/30/2015. 10 ILCS 5/1A-8 (1, 2, 11)	ET&RD
12/1 /2014		Notify election authorities of requirement to submit computerized voter registration information for December 15, 2014 submission in a format prescribed by the SBE	VRS

Start Date	End Date	Activity	Division
12/1 /2014		SURVEY ELECTION AUTHORITIES to report the number of election judges who have tested for each election. SECTION 8. PERFORMANCE GOALS AND MEASURES, HAVA STATE PLAN.	EXEC DIR
12/2 /2014	12/4 /2014	(dates subject to change) Veto Session	LEG
12/2 /2014		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the General Election 2014 submission	VRS
12/3 /2014		Objection deadline filing for candidates who filed November 17 - 24, 2014. 10 ILCS 5/10-8	EL INFO
12/5 /2014		Complete proclamation for each office elected at the General Election for the Governor's office. Generate material for the "Official Vote" publication and submit to ET&RD division.	EL INFO
12/5 /2014		BOARD MEETING (Proclamation). 10 ILCS 5/22-7	EXEC DIR
12/5 /2014		Post Election Day Survey due from the LEO's to the SBE.	LEG
12/5 /2014		Complete CANVASS of November General Election. 10 ILCS 5/22-7	EL INFO
12/12/2014		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/15/2014		First day for election authority to submit computerized voter registration file for the December 15, 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/15/2014	12/22/2014	Filing period for municipal candidates, educational service region trustees, schools, colleges, parks, library, fire and the Fox Waterway Agency trustees. 10 ILCS 5/10-6	EL INFO
12/16/2014		Complete analysis of Referenda Profile for the General Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
12/25/2014		Last day for election authority to submit computerized voter registration file for the December 15, 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS

Start Date	End Date	Activity	Division
12/25/2014		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2014 submission. Rules and Regulations	VRS
12/26/2014		Send notice (form) to election authorities to obtain voting system information to be used for the Consolidated Primary Election. (Rules and Regulations)	VRS
12/26/2014		Send notice (form) to election authorities in order to obtain early voting system information to be used for the Consolidated Primary Election.	VRS
12/26/2014		Send notice to election authorities to obtain information on which jurisdictions will be having a Consolidated Primary 10 ILCS 5/1A-8 (1,2,12)	VRS
12/26/2014		Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
12/30/2014		Objection deadline filing for candidates who filed December 15 - 22, 2014. 10 ILCS 5/10-8	EL INFO
1 /1 /2015	12/31/2015	Year round reporting of any contribution of \$1000 or more received by a political committee, must be reported within five business days following its receipt unless committee is participating in an election and the contribution is received within 30 days prior to that election. 10 ILCS 5/9(c).	CAMP DISC
1 /2 /2015		Begin preparing the CANDIDATE'S GUIDE for candidates running for office in 2016. Submit to legal for review by 5/15/2015. 10 ILCS 5/1A-8 (1, 2, 4, 7, 11)	ET&RD
1 /2 /2015		First day that any political committee shall file its 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
1 /5 /2015		Begin work on signature requirements for the 2016 General Primary Election.	EL INFO
1 /9 /2015		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the Consolidated Primary Election. (Rules and Regulations)	VRS

6. Follow up.
7. Comments from the general public.
8. Next Board Meeting scheduled for Sunday, November 30, 2014, at 5:00 p.m. in Springfield and at McCorkle Litigation Services, 200 N. LaSalle, Suite 2900 in Chicago via videoconference.
9. Executive session.